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LIMITE

RECH

ERAC

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WORKING DOCUMENT

From:	General Secretariat of the Council
To:	ERAC (European Research Area and Innovation Committee)
Subject:	Horizon 2020 ex-post and Horizon Europe interim evaluation : A combined evaluation and consultation strategy - PPT presentation

Delegations will find attached PPT on the above mentioned subject displayed at the meeting of the European Research Area and Innovation Committee (ERAC) on 13 - 14 September 2022.



HORIZON 2020 EX-POST AND HORIZON EUROPE INTERIM EVALUATION

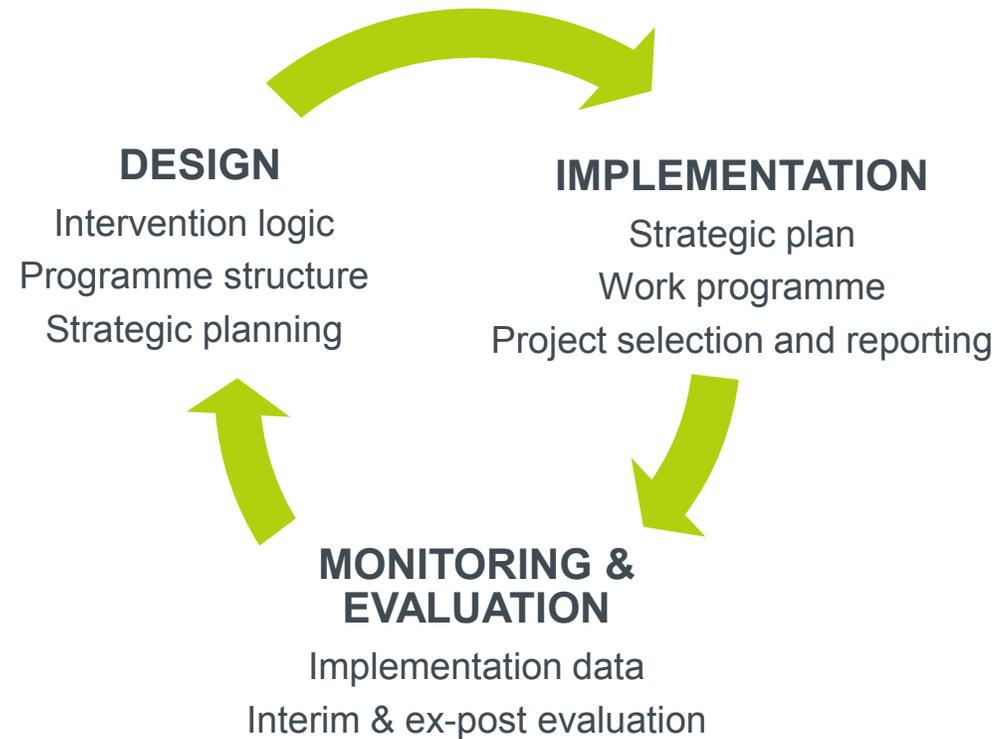
A combined evaluation and consultation strategy



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European Commission Directorate-General for Research and Innovation

The CYCLE for the Framework Programme on Research and Innovation

Impact-driven Framework Programme



Framework Programme (FP) evidence needs

ADVOCACY

- Need evidence on FP added value and achievements to feed policy decisions and arbitrations
- Need evidence to communicate on progress of FP towards delivering impact towards all types of stakeholders, incl. citizens

ACCOUNTABILITY

- Need to deliver on monitoring & evaluations requirements from FP Regulation & Better Regulation
- Need evidence to demonstrate value for money of EU R&I investments

LEARNING

- Need evidence on what the FP delivers and doesn't deliver, why & how - to take corrective actions
- Need evidence to identify current and future needs & translate high-level objectives into concrete actions

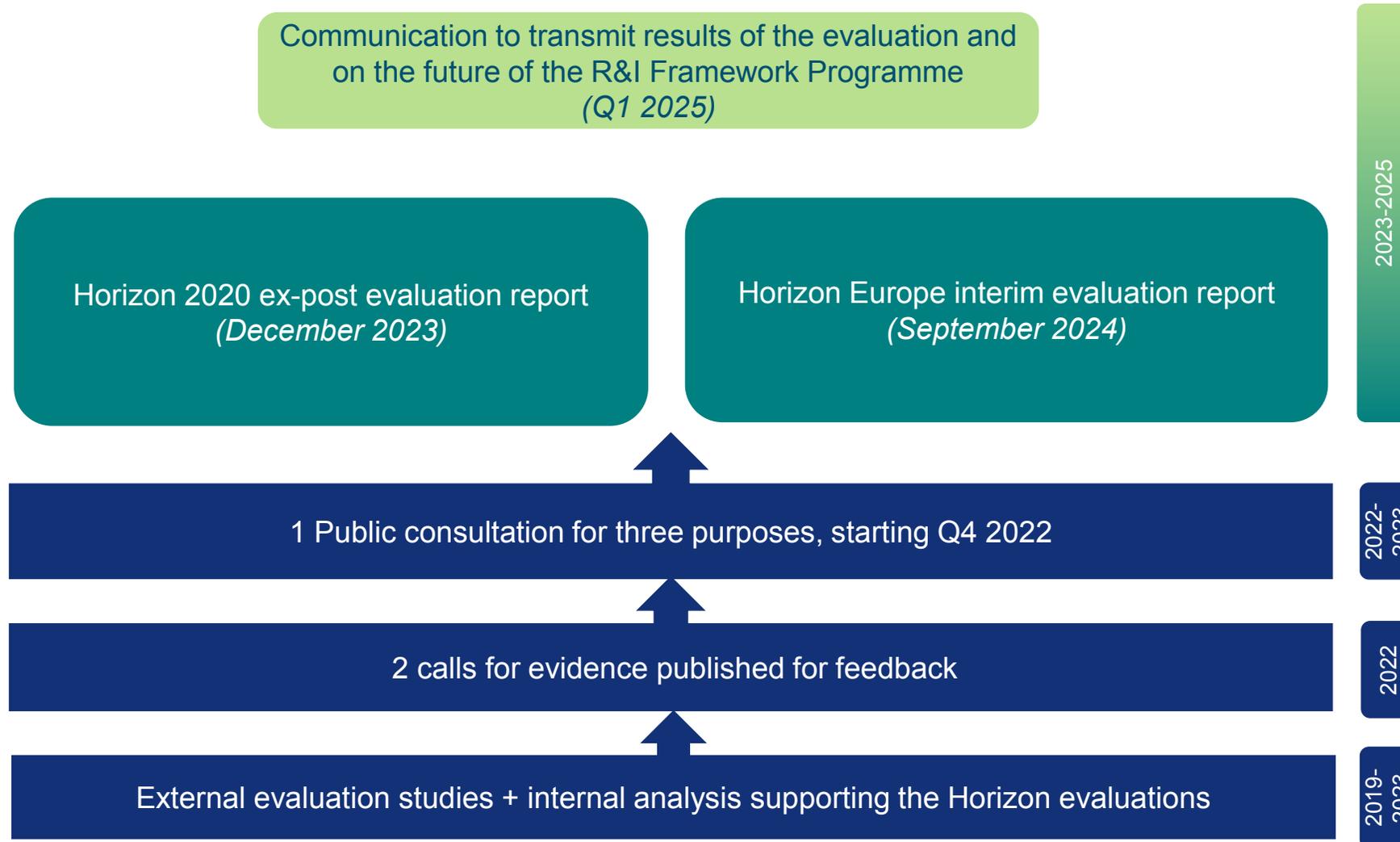
Guiding principles

Independence &
Robustness

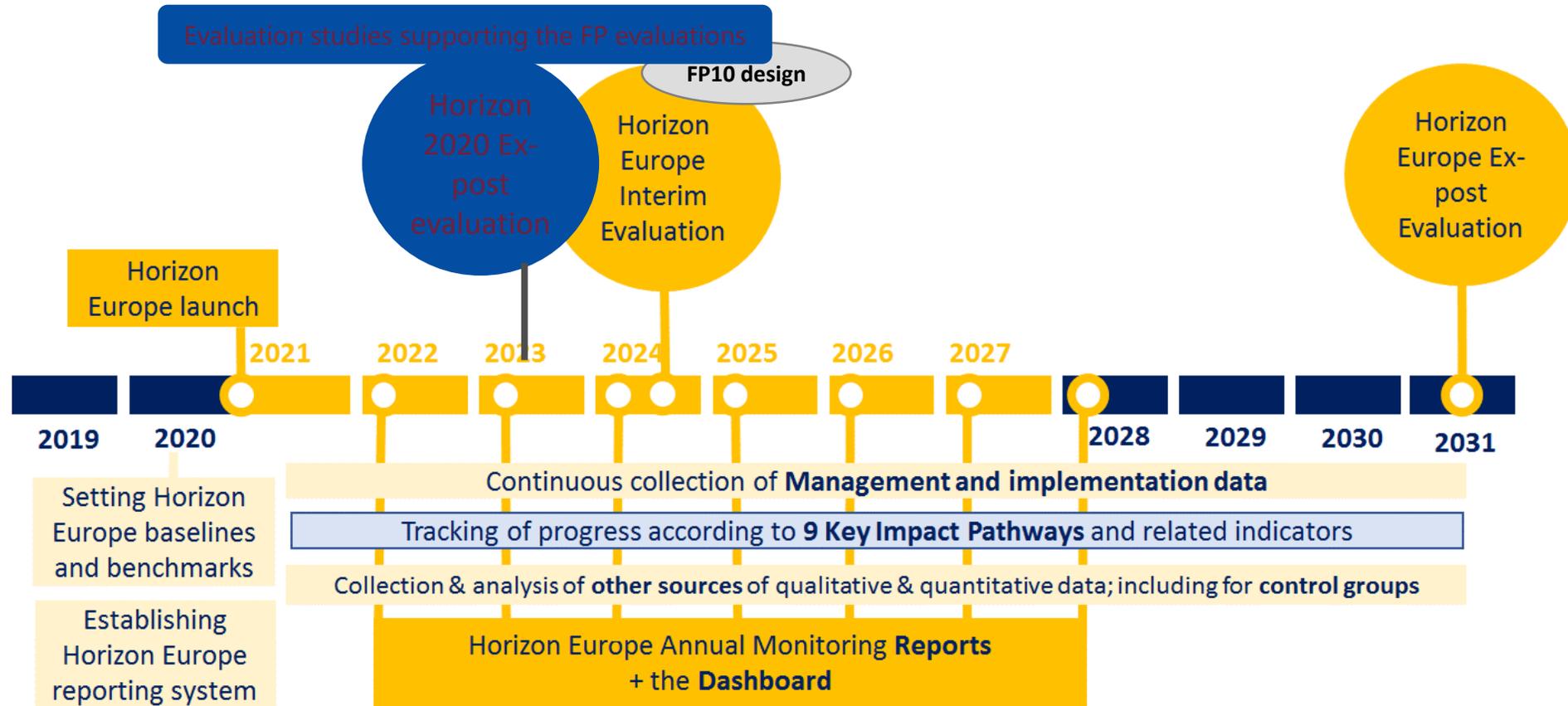
Expertise &
Learning

Openness &
Communication

Timeline for the H2020 ex-post & HE interim evaluations



Timeline for FP evaluations



Question 1 for discussion at ERAC aiming at providing advice- and analytical input to be used in FP evaluation

What is the additional value resulting from the framework Programme(s), compared to what could reasonably have been expected from Member States and Associated Countries acting at national and/or regional level?

Added value of EU funding in Horizon Europe

Competitive funding
strengthens scientific excellence

Collaborative projects
help achieve critical mass
to address global challenges

**Multidisciplinary,
transnational networks**
make research more impactful

Definition

Positive effects that
go beyond what would have
been achieved by **national or
local players acting alone**

EU funded mobility and training
reinforce human capital

Encourage more effective
public-private partnerships

Distinctive EU funded research
doesn't displace national funding

Information sharing
increases EU competitiveness

Dissemination policies
create new market opportunities

**Create evidence
for policy-making**
(e.g. IPCC reports)

How do we measure added value of EU funding?

What is the value resulting from **partnerships** that is additional to the value that could result from interventions carried out at regional or national level?

What would have happened if the Framework Programme **had not existed?**

Could the stakeholders have implemented the activities in **another way**, including through other national or regional support?



Question 2 for discussion at ERAC aiming at providing advice- and analytical input to be used in FP evaluation

What are ERAC's views on synergies and complementarities between the (H2020 programme and/or) Horizon Europe programme and other EU and national R&I programmes?

Synergies

REGULATION HE

- “Annex IV : Synergies with other Union programmes are based on complementarity between programme *design* and *objectives* and on compatibility of financing rules and processes at implementation level.”
– 22 EU programmes mentioned in annex IV.
- “Synergy”/”Synergies” in 8 recitals, 4 articles [6(6), 7(7); 8(4), 15(1)] and Annexes I, II, III, IV

Ex. Missions (art. 8(4))

Missions shall (...) (i) benefit from synergies with other Union programmes in a transparent manner as well as with national and, where relevant, regional innovation ecosystems.

Prague
Declaration

Synergies
Guidance

#SynergieswithHE

NCP Workshop



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Thank you!

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Additional slides in case of
questions

Thank you!

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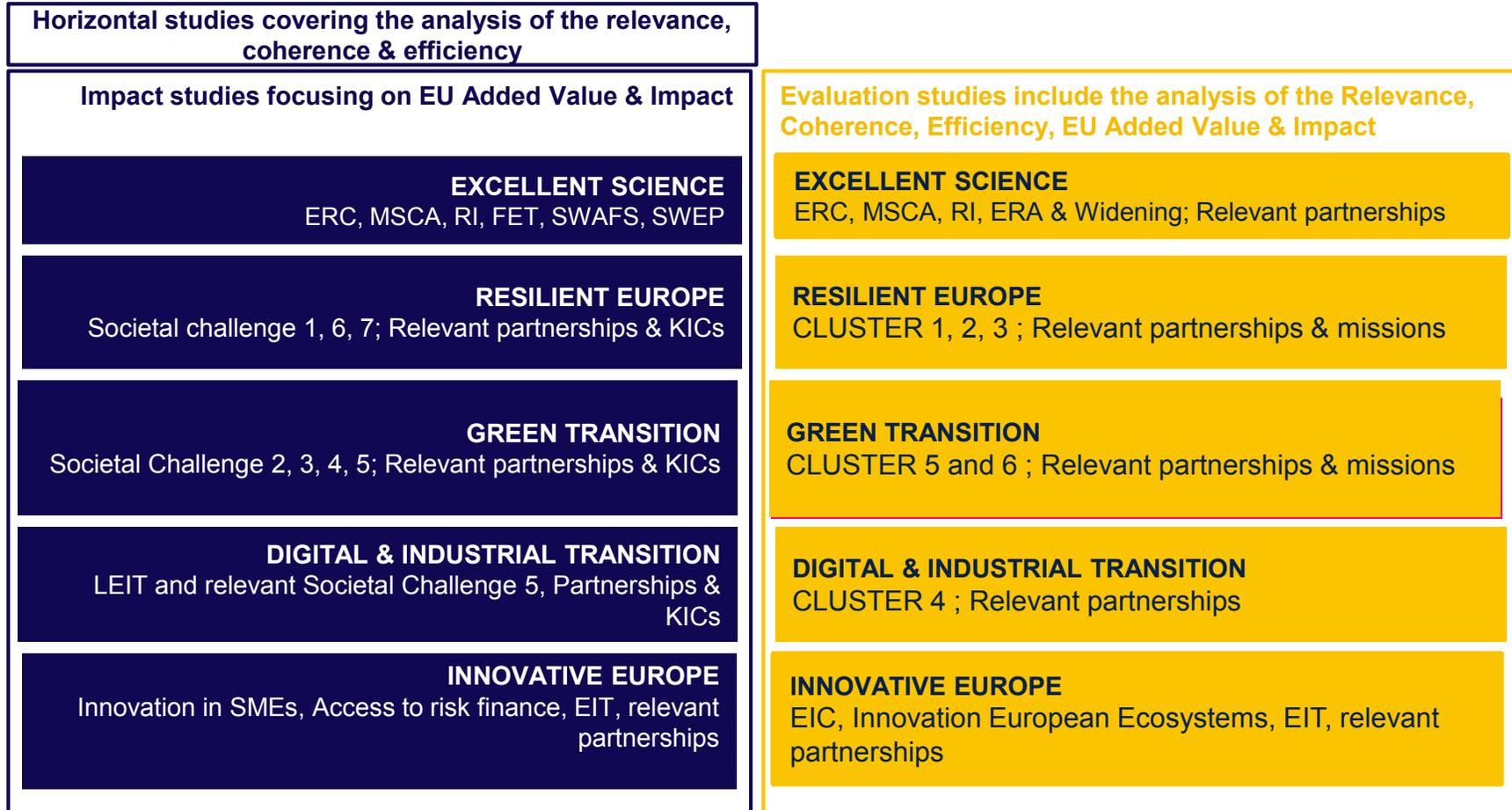
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Scope of external evaluation studies

From Horizon 2020 ex post evaluation to **Horizon Europe interim evaluation**

Horizon 2020
Ex-post Evaluation

Horizon Europe
Interim Evaluation



Studies implemented from Q3 2020
until Q1 2023

Studies implemented from Q1 2023
until Q1 2024