

GPC Task force

Openness and Transparency of networks



Petra Žagar

GPC ♦ 25 May 2020 ♦ Webex



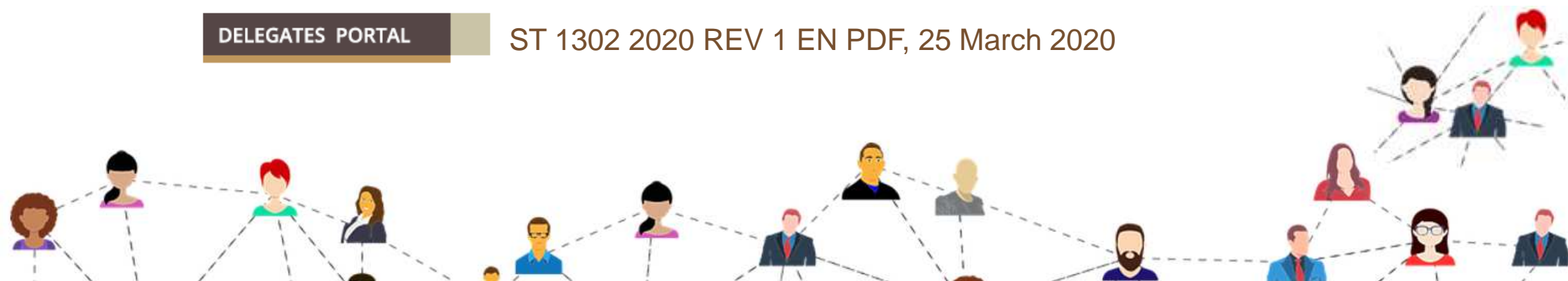
GPC Work Programme 2019-2020

THEME	TIMELINE			
	<u>1st semester 2019</u>	<u>2nd semester 2019</u>	<u>1st semester 2020</u>	<u>2nd semester 2020</u>
Inclusiveness: Openness and transparency of networks	Development of a detailed roadmap to address the topic	Elaboration of national contributions as well as contributions from COM services on centrally available data and reports, status report to ERAC	Draft GPC report on Openness & Transparency of networks with recommendations addressed to ERAC	Final GPC report on Openness & Transparency of networks with recommendations addressed to ERAC

End by January 2020

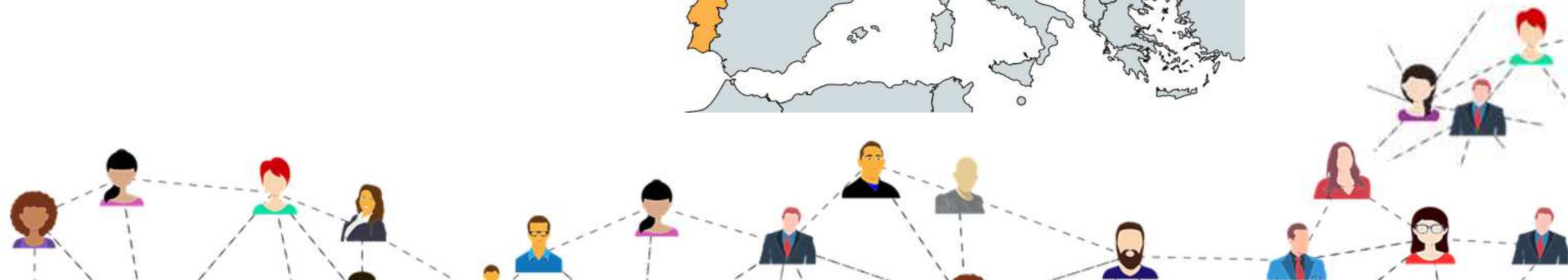
DELEGATES PORTAL

ST 1302 2020 REV 1 EN PDF, 25 March 2020



Members

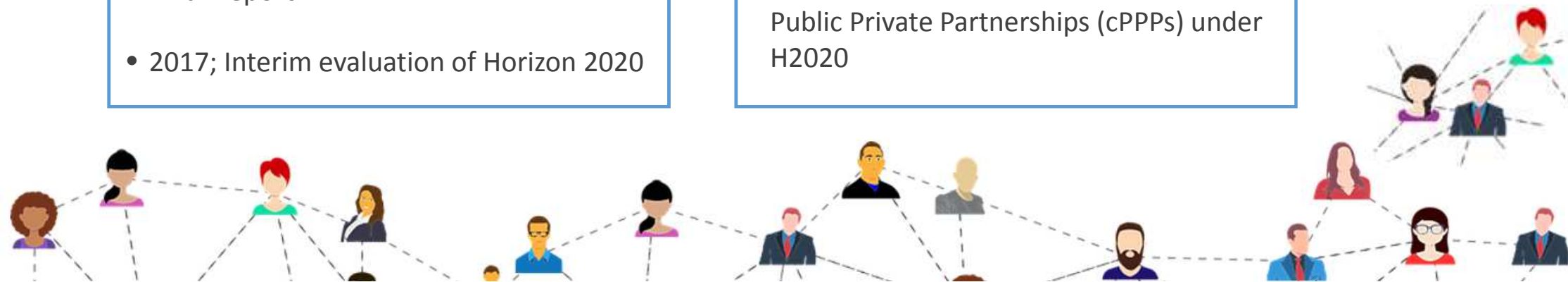
Austria
Germany
Portugal
(Romania)
Slovenia



Background

- 2017; CC EE/ From the Interim Evaluation of Horizon 2020 towards the ninth Framework Programme
- 2018; Final Report of the ERAC Ad-hoc WG on Partnerships on „Criteria“
- 2018; ERAC Ad-hoc WG on partnerships – Final Report
- 2017; Interim evaluation of Horizon 2020

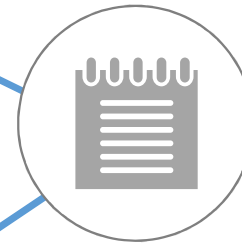
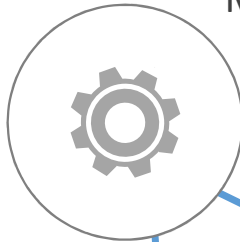
- 2017-2018; MLE on national practices in widening participation and stenghtening synergies - topic report: Improving networking through participation in EU-level initiatives
- 2017; Interim evaluation of the Joint Undertakings operating under H2020
- 2017; Mid-term review of the contractual Public Private Partnerships (cPPPs) under H2020



Our work

(1) EXCEL

Mapping of Country involvement

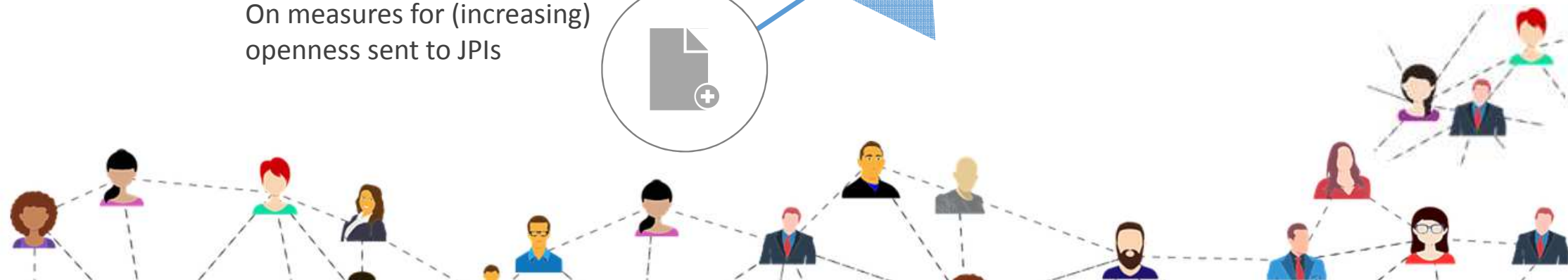
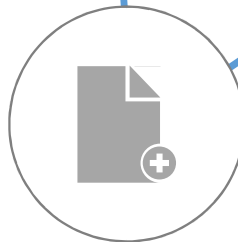


(2) QUESTIONNAIRE

on inclusiveness measures
sent to Art. 185 and JTIs

(3) LETTER

On measures for (increasing)
openness sent to JPIs



(1) Excel

ERA-NET	ACT	AXIS	BESTF3	BiodivERsA3	BiodivScen	BlueBio	CHIST-ERAIII	CORE Organic	DemoWind	DemoWind2	DIAL	EMEurope
Austria		1	1	1	1		1	1			1	1
Belgium		1		1	1	1	1	1	1	1		
Bulgaria				1	1		1	1				
Croatia						1						
Cyprus												
Czech Republic							1				1	
Denmark			1			1		1	1	1	1	1
Estonia				1	1	1	1	1				
Finland			1	1	1	1	1	1			1	1
France		1		1	1		1	1	1		1	1
Germany	1	1	1	1	1	1		1			1	1
Greece	1					1						
Hungary				1	1							1
Ireland		1			1	1	1				1	
Italy						1	1	1				1
Latvia								1				
Lithuania				1	1		1				1	
Luxembourg												
Malta						1						
Netherlands	1	1	1	1	1			1	1	1	1	1
Poland			1	1	1		1	1			1	1
Portugal				1		1	1		1		1	1
Romania	1			1	1	1	1	1				
Slovakia				1	1		1					
Slovenia								1			1	
Spain	1	1	1	1	1	1	1	1	1	1		1
Sweden		1	1	1	1	1		1			1	1
United Kingdom	1		1	1			1	1	1	1	1	1
Norway		1										

Overview Country by partnership

For ERA-NETs, A.185s, EJPs, JPIs, JTIs, FET Flagships, cPPPs, KICs

Data gathering

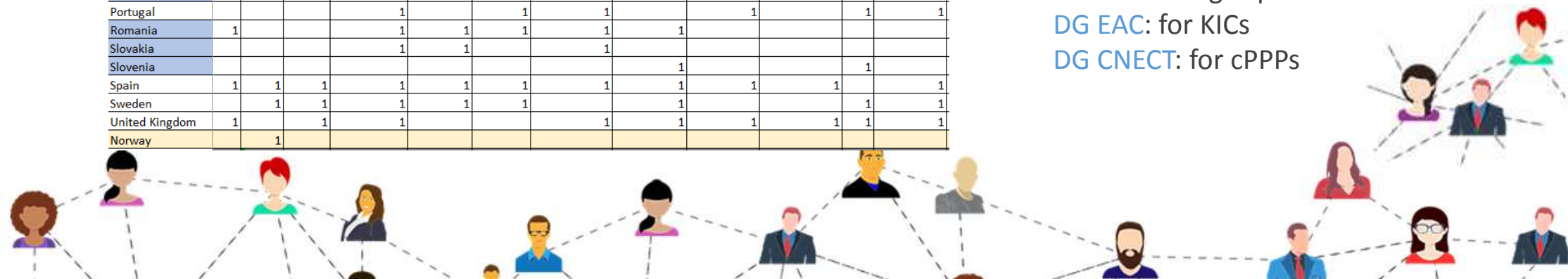
[ERALEARN](#): P2P Database

[eCORDA](#): JTIs

[www](#): FETFlagships

[DG EAC](#): for KICs

[DG CNECT](#): for cPPPs

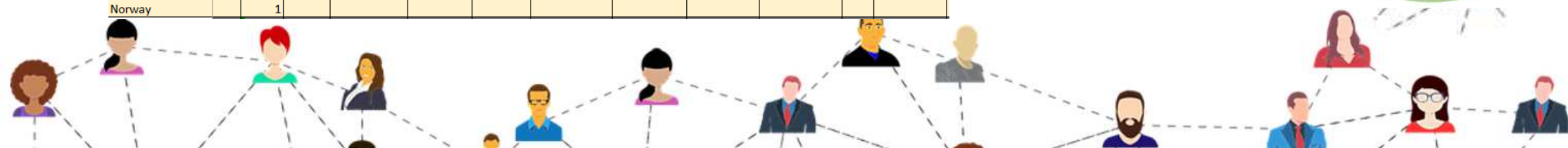
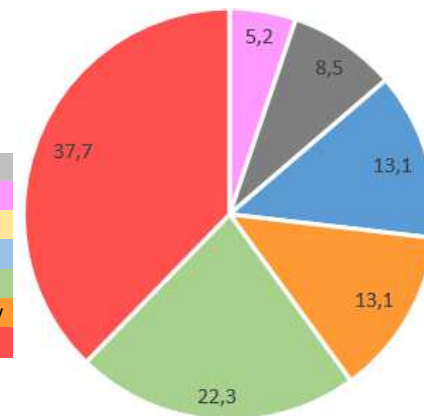


Horizon Europe Clusters

ERA-NET	ACT	AXIS	BESTF3	BiodivERsA3	BiodivScen	BlueBio	CHIST-ERAIII	CORE Organic	DemoWind	DemoWind2	DIAL	EMEurope
Austria		1	1	1	1	1	1	1	1		1	1
Belgium		1		1	1	1	1	1	1	1		
Bulgaria				1	1		1	1				
Croatia						1						
Cyprus												
Czech Republic							1				1	
Denmark			1			1		1	1	1	1	1
Estonia				1	1	1	1	1				
Finland			1	1	1	1	1	1			1	1
France		1		1	1	1	1	1	1		1	1
Germany	1	1	1	1	1	1		1			1	1
Greece	1					1						
Hungary				1	1							1
Ireland		1			1	1	1				1	
Italy						1	1	1				1
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Luxembourg												
Malta						1						
Netherlands	1	1	1	1	1			1	1	1	1	1
Poland			1	1	1		1	1			1	1
Portugal				1		1	1		1		1	1
Romania	1			1	1	1	1	1				
Slovakia				1	1		1					
Slovenia								1			1	
Spain	1	1	1	1	1	1	1	1	1	1	1	1
Sweden		1	1	1	1	1		1			1	1
United Kingdom	1		1	1			1	1	1	1	1	1
Norway		1										



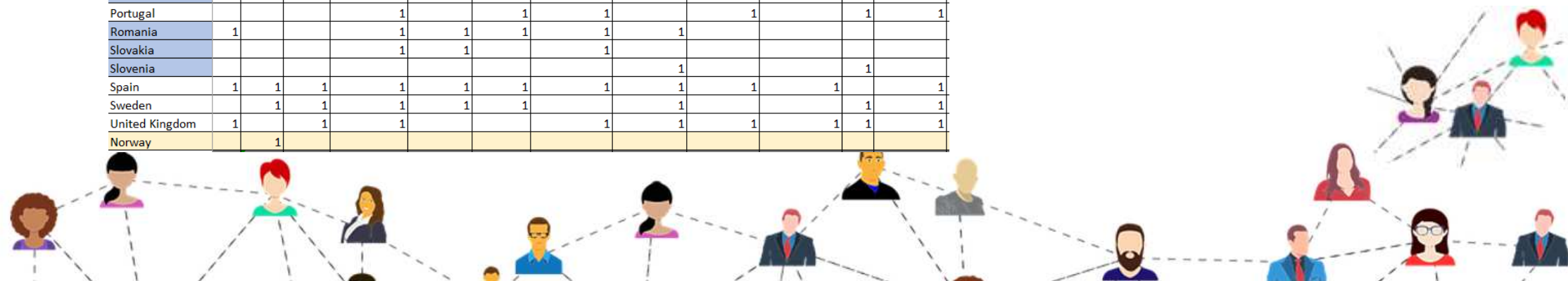
- i. Health
- ii. Culture, creativity and inclusive society
- iii. Civil Security for Society
- iv. Digital, Industry and Space
- v. Climate, Energy and Mobility
- vi. Food, BioE, Natural Resources, Agric. and ENV
- INTERDISCIPLINARY



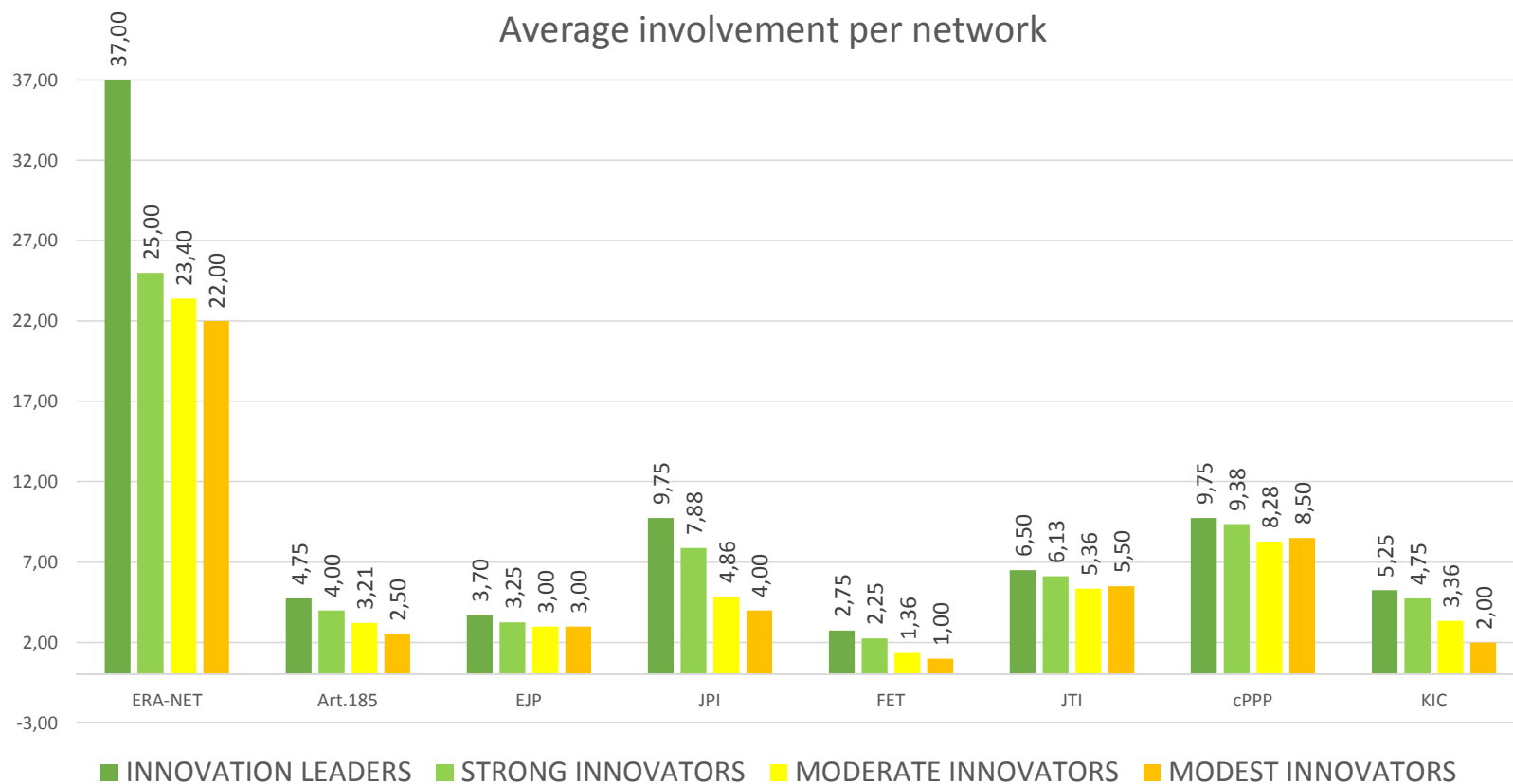
Clustering According to EIS

Innovation leaders on average involved
in most partnerships

ERA-NET	ACT	AXIS	BESTF3	BiodivERsA3	BiodivScen	BlueBio	CHIST-ERAIII	CORE Organic	DemoWind	DemoWind2	DIAL	EMEurope
Austria		1	1	1	1		1	1			1	1
Belgium		1		1	1	1	1	1	1	1		
Bulgaria				1	1		1	1				
Croatia						1						
Cyprus												
Czech Republic							1				1	
Denmark			1			1		1	1	1	1	1
Estonia				1	1	1	1	1				
Finland			1	1	1	1	1	1			1	1
France		1		1	1		1	1			1	1
Germany	1	1	1	1	1	1		1			1	1
Greece	1					1						
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Luxembourg												
Malta						1						
Netherlands	1	1	1	1	1			1	1	1	1	1
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Portugal				1		1	1		1		1	1
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Spain	1	1	1	1	1	1	1	1	1	1		1
Sweden		1	1	1	1	1		1			1	1
United Kingdom	1		1	1			1	1	1	1	1	1
Norway		1										



Average involvement per network



EIS

LEADERS

SE ♦ FI ♦ DK ♦ NL

STRONG

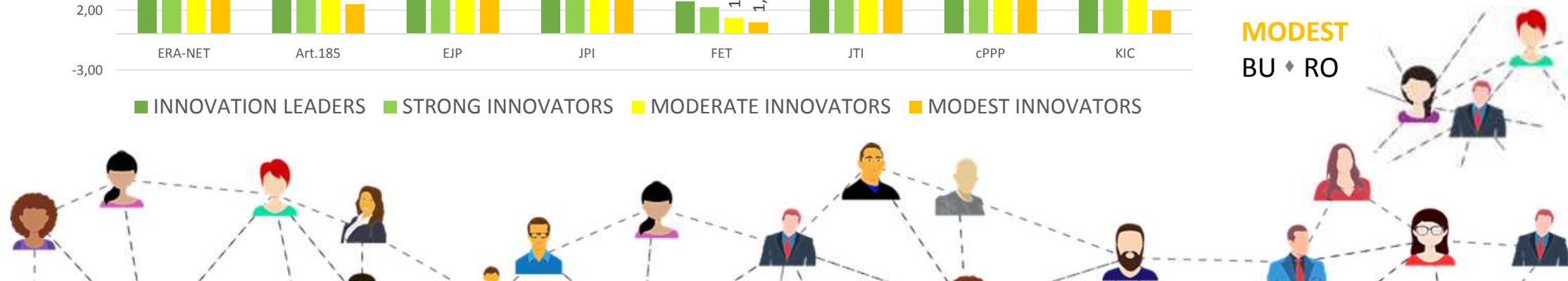
LU ♦ BE ♦ UK ♦ DE ♦ AT ♦ IE
♦ FR ♦ EE

MODERATE

PT ♦ CZ ♦ SI ♦ CY ♦ MT ♦ IT
♦ ES ♦ GR ♦ LT ♦ SK ♦ HU ♦
LV ♦ PL ♦ HR

MODEST

BU ♦ RO



(3) Letter

Open-ended question

to answer with more depth

On inclusiveness measures: openness and transparency

send to 10 JPIs

Response rate

JPIs: 7/10 ~ 70%

AMR
CLIMATE
CULTURAL HERITAGE
FACCE
HDHL
JPND
MYBL
OCEANS
URBAN EUROPE
WATER

Dear Colleagues,

You have been informed at the previous meeting of the GPC on our Work Programme for 2019-2022. One of the themes we would like to tackle is inclusiveness, with regard to the openness and transparency of networks and for that, the Task Force has been established. We would like to contribute to the new ERA narrative and influence how new instruments could be built in the perception of inclusiveness.

ERA-LEARN project is already doing an analysis of the inclusiveness in transnational calls implemented by ERA-NET Cofund networks and EJPs, so some of you might already be familiar with the questionnaire.

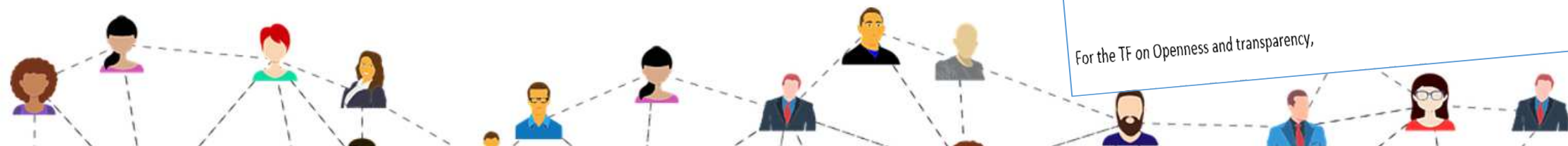
For our further analysis, we would like to gather different measures you employ for the inclusiveness (focusing on openness of networks) and would like to ask you to answer a simple open-ended question. Instead of sending you a checklist we decided for open-ended question as this will allow you to answer with more depth.

Which measures for increasing openness do you employ in your network?

We would like you to think of the different levels of operation - implementation, alignment, calls (preparation, promotion and evaluation phase), other joint actions, etc.

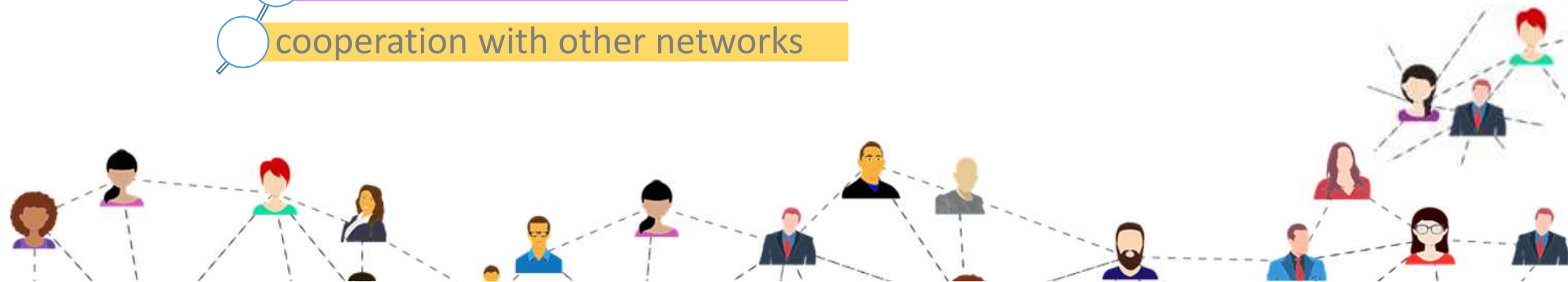
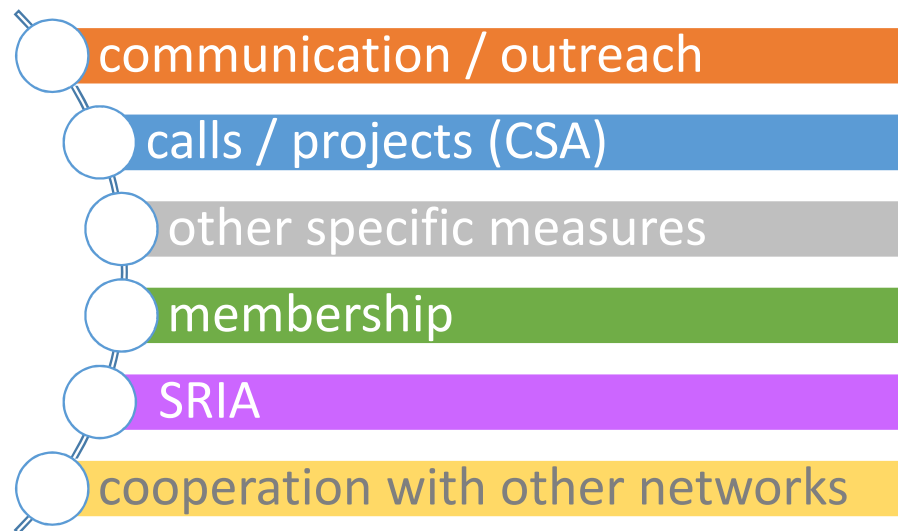
Your answer (received by the end of August) will be much appreciated!

For the TF on Openness and transparency,



„Which measures for (increasing) openness do you employ in your network?“

JPIs



(2) Questionnaire

Inspired by



On inclusiveness measures:
openness and transparency

send to 5 Art. 185s and 7 JTIs

Response rate

Art. 185s: 4/5 ~ 80 %

JTIs: 6/7 ~ 85,7 %

Σ 10/12 ~ 83 %

- ☐ Art. 185 EDCTP
- ☐ Art. 185 AAL2
- ☐ Art. 185 EMPIR
- ☒ ~~Art. 185 EUROSTARS~~
- ☐ Art. 185 PRIMA
- ☐ JTI BBI
- ☐ JTI IMI2
- ☐ JTI Shift2Rail
- ☐ JTI CleanSky2
- ☐ JTI FCH2
- ☒ ~~JTI ECSEL~~
- ☐ JTI SESAR

www.1ka.si

GPC; Openness & transparency of networks

Q7 - Please highlight dedicated pro-inclusiveness measures that have been most successful or effective.
Možna je več odgovorov.

☐ Call regulations allow the addition of partners from Widening, or less performing countries in those proposals that are approved for the full proposal stage

☐ Other, please specify:

Q4 - Which type of measures do you employ in the call promotion phase?
Multiple answers

☐ None

☐ Match-making/Brokerage events

☐ Use of partnering tools

☐ Dedicated activities to inform and encourage the research community in Widening countries (detailed advice, proposal check, etc.)

☐ Other, please specify:

Q5 - Which type of measures do you employ in the call evaluation phase?
Multiple answers

☐ None

☐ Include experts from Widening Countries in evaluation panels in order to maintain geographical balance and diversity in research expertise

☐ Wild card - invite at least one trans-national project per Widening Country to submit full proposals

☐ Proposal selection (note: not evaluation criteria) In case of equal score proposals, proposals with more Widening Countries are preferred

☐ Extend the selection list by increasing the national budgets and/or using a flexible top-up distribution

☐ Other, please specify:

Q6 - Which other type of activities for strengthening Widening Countries did you employ / for inclusiveness in the sense of openness and transparency of networks?
Možna je več odgovorov.

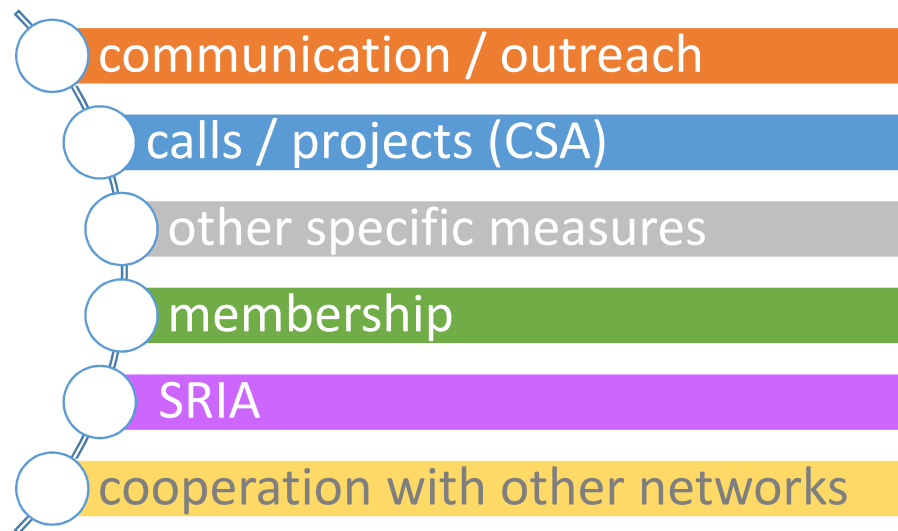
☐ Please specify:

stran 3 / 4

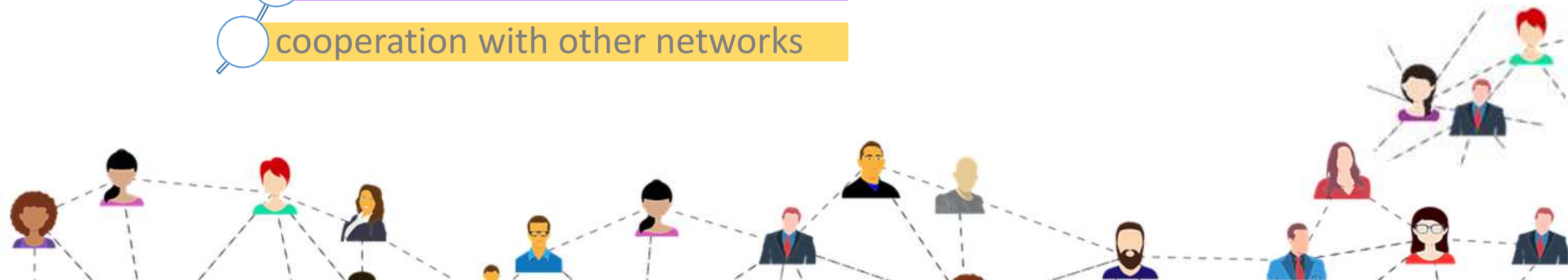
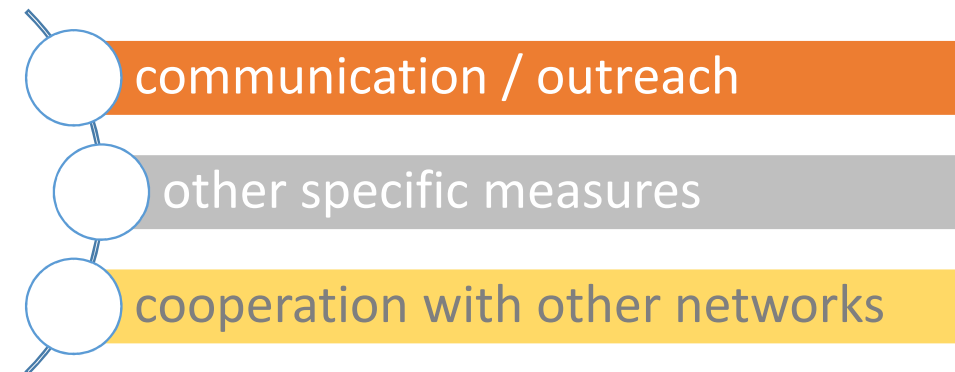


„Which measures for (increasing) openness do you employ in your network?“

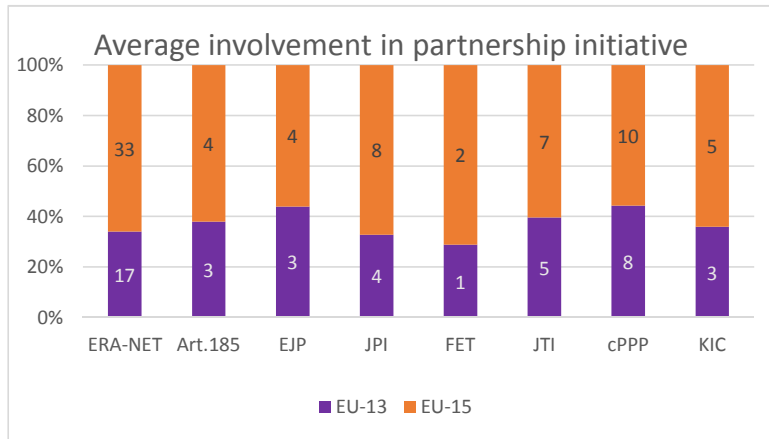
JPIs



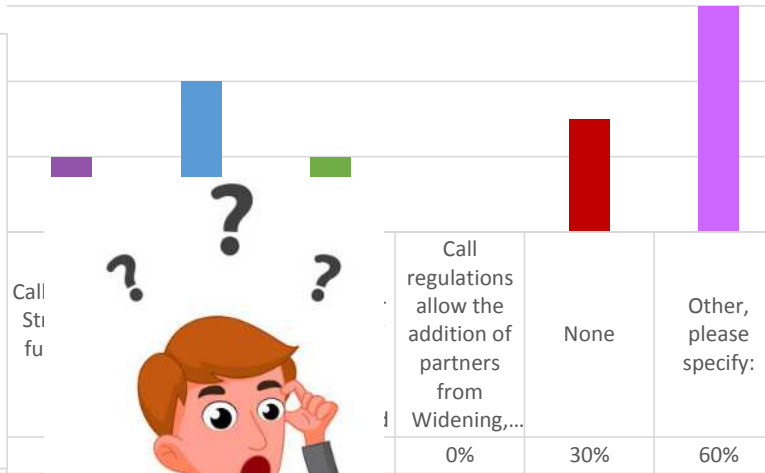
A. 185s, JTIs



(2) Which type of measures do you employ in the call preparation phase?



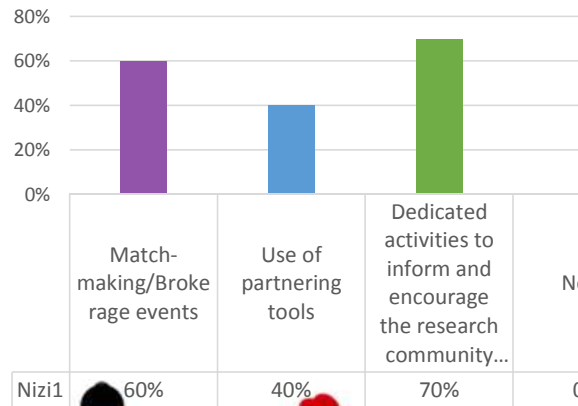
60%



EJP	EURATOM		H2020	
	EUROfusion	CONCERT	HBM4EU	ONE HEALTH
Austria	1	1	1	1
Belgium	1	1	1	1
Bulgaria	1	1	1	1
Croatia	1	1	1	1
Cyprus	1	1	1	1
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United Kingdom	1	1	1	1
Norway	1	1	1	1

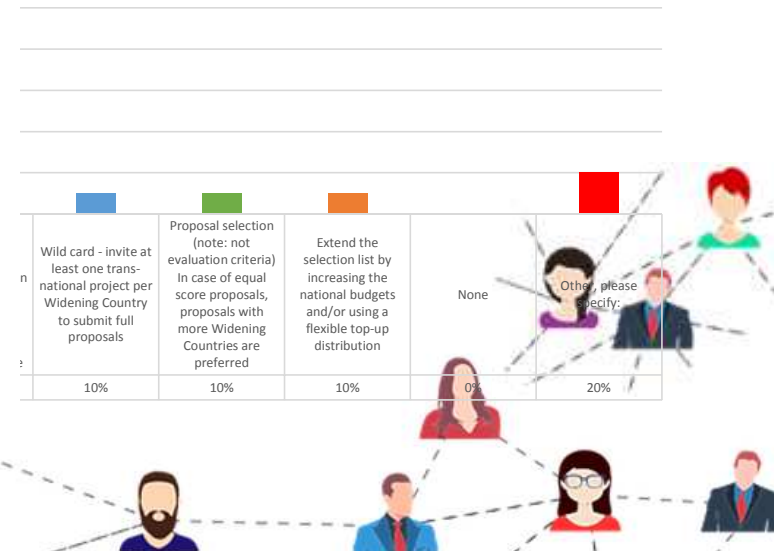
(4) Which type of measures do you employ in the call evaluation phase?

(3) Which type of measures do you employ in the call promotion phase?

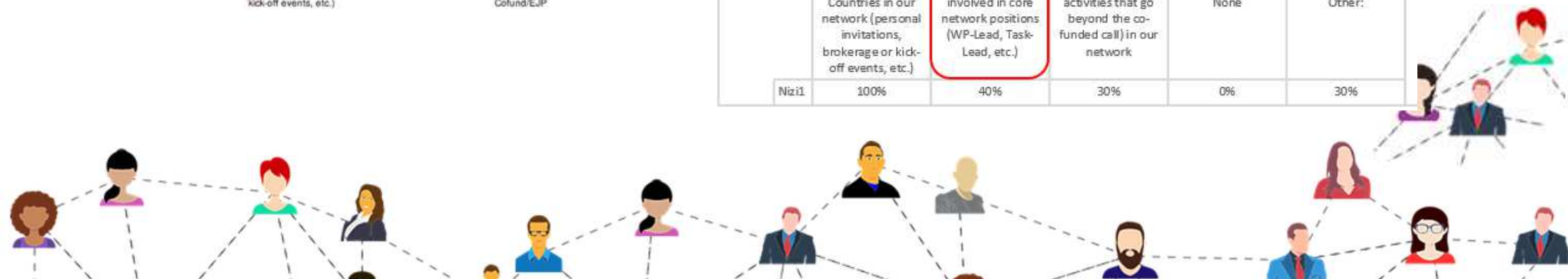
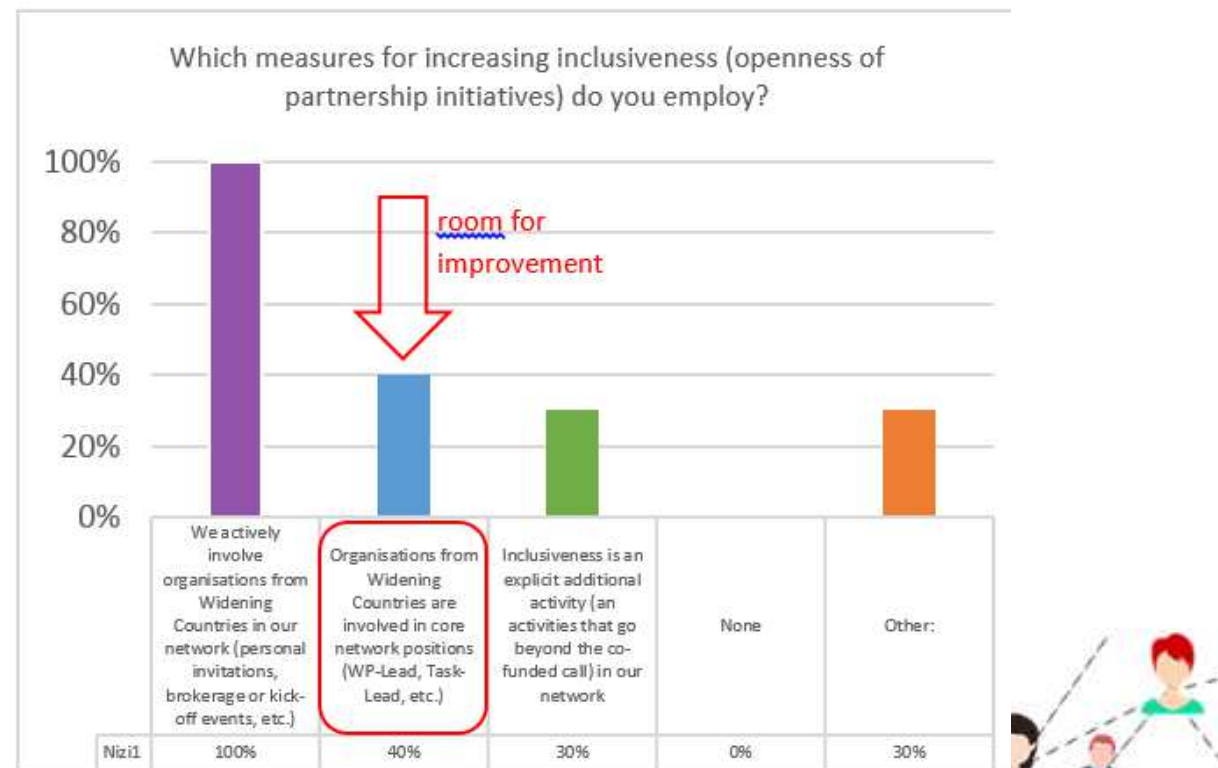
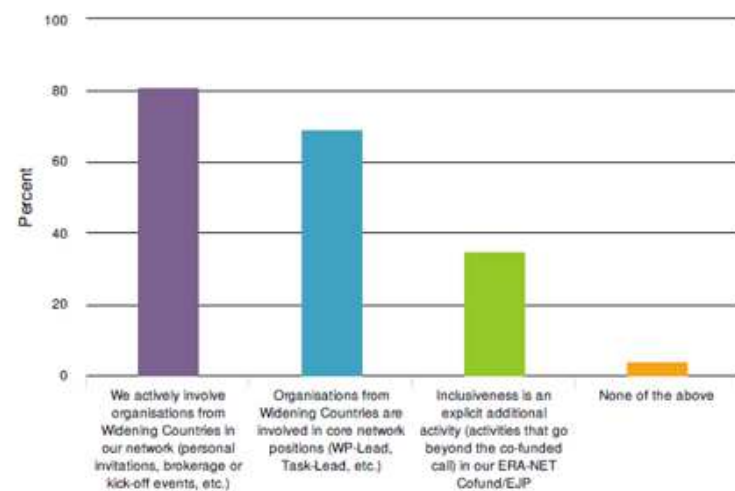


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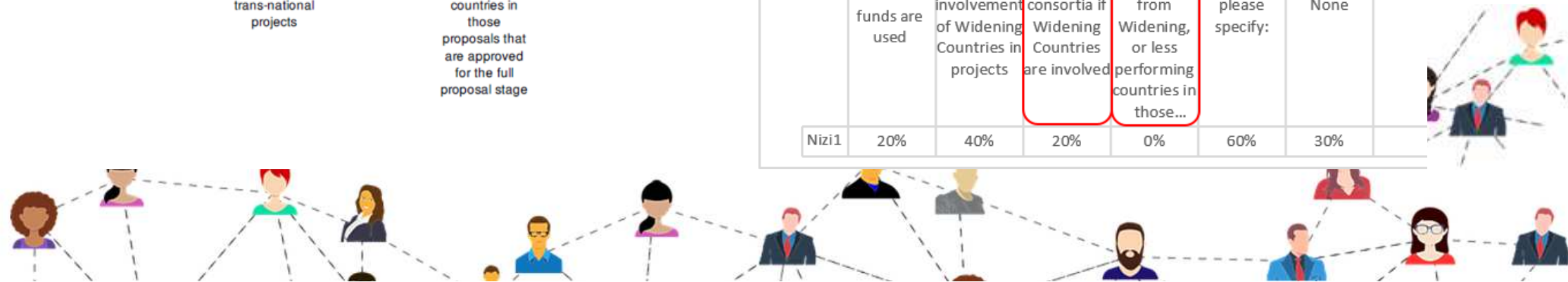
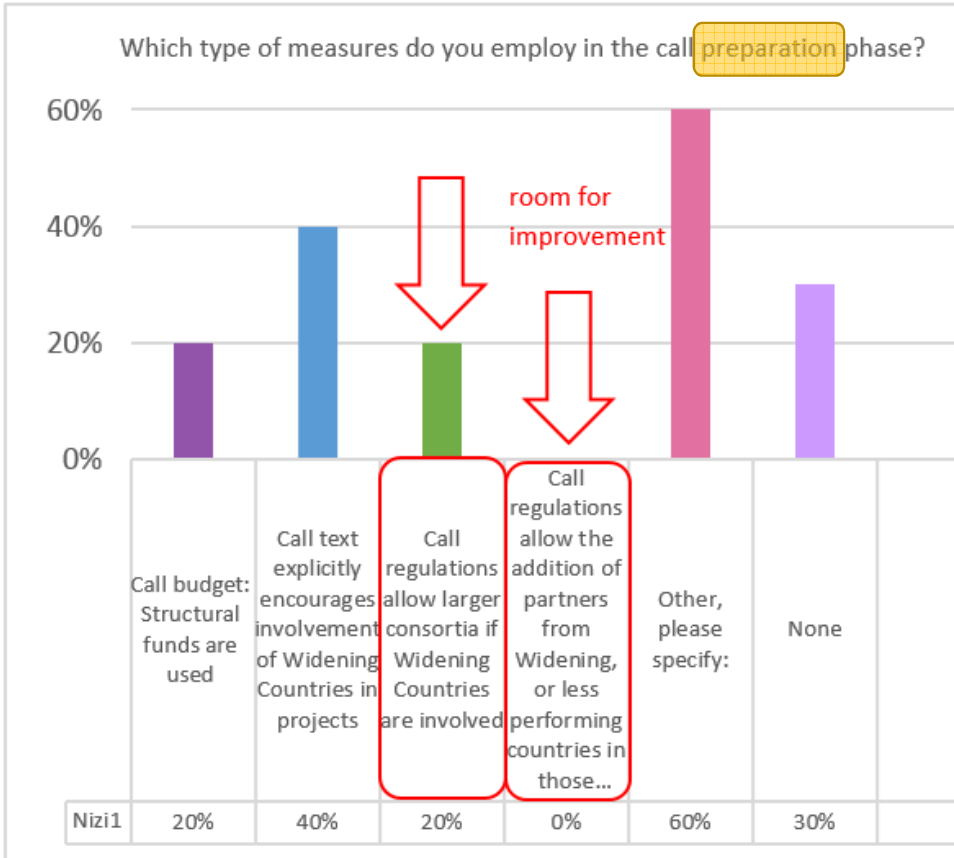
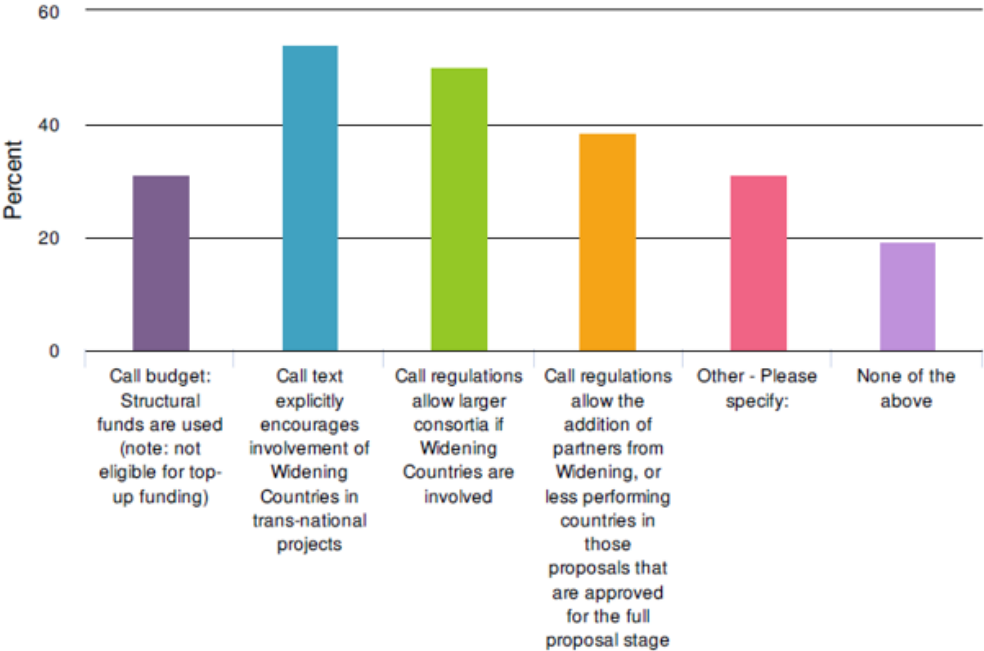
representatives
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facilitating
final
work
projects
consultations
involvement
creation
capacity
stakeholders
programme
communication
researchers
international
development
cluster
specific
objective
stimulate
invited
certain
ncps



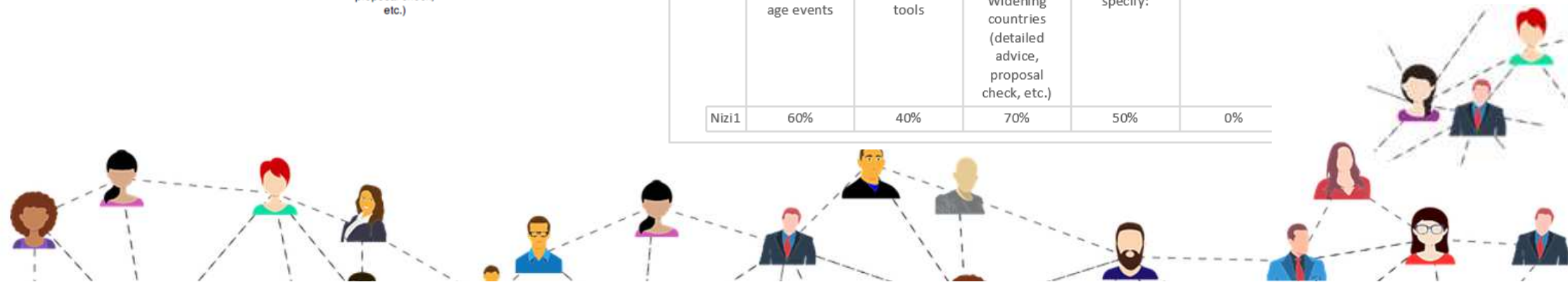
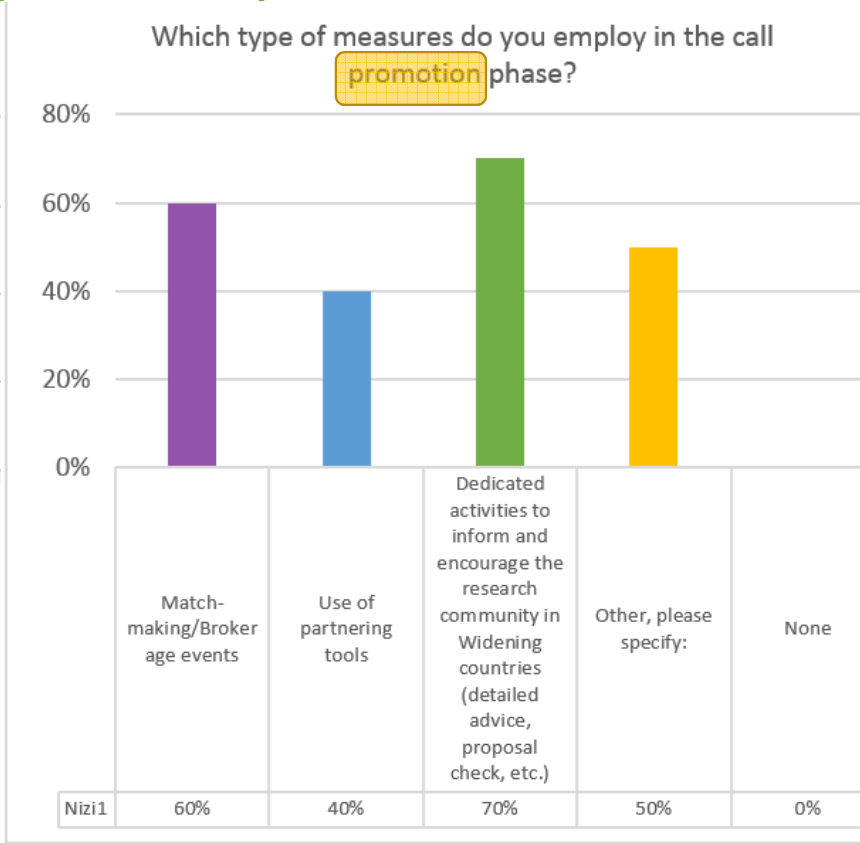
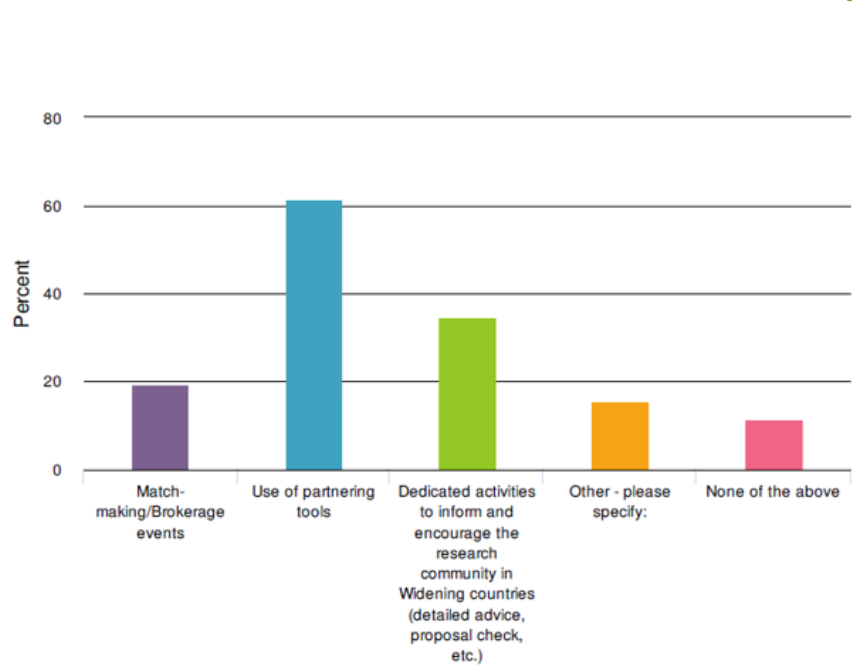
ERA-LEARN EJP and ERA-NET Cofund Analysis vs. Art. 185 and JTI Questionnaire



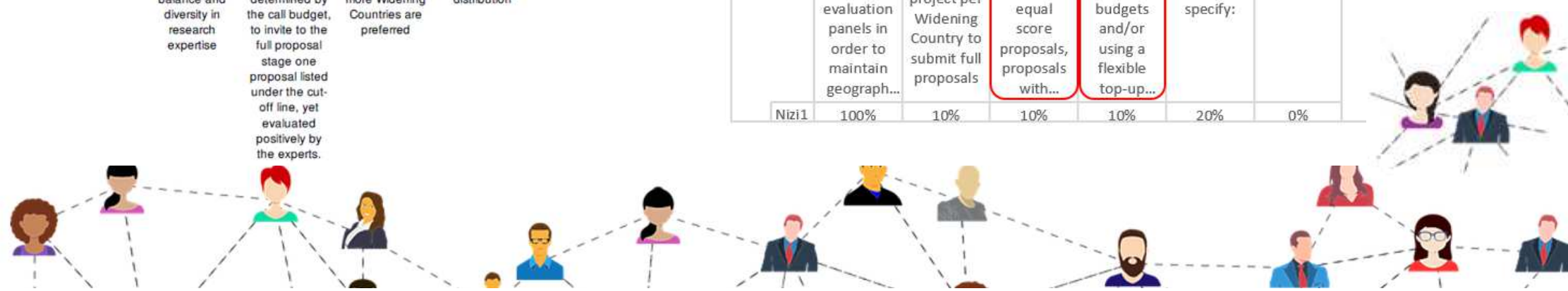
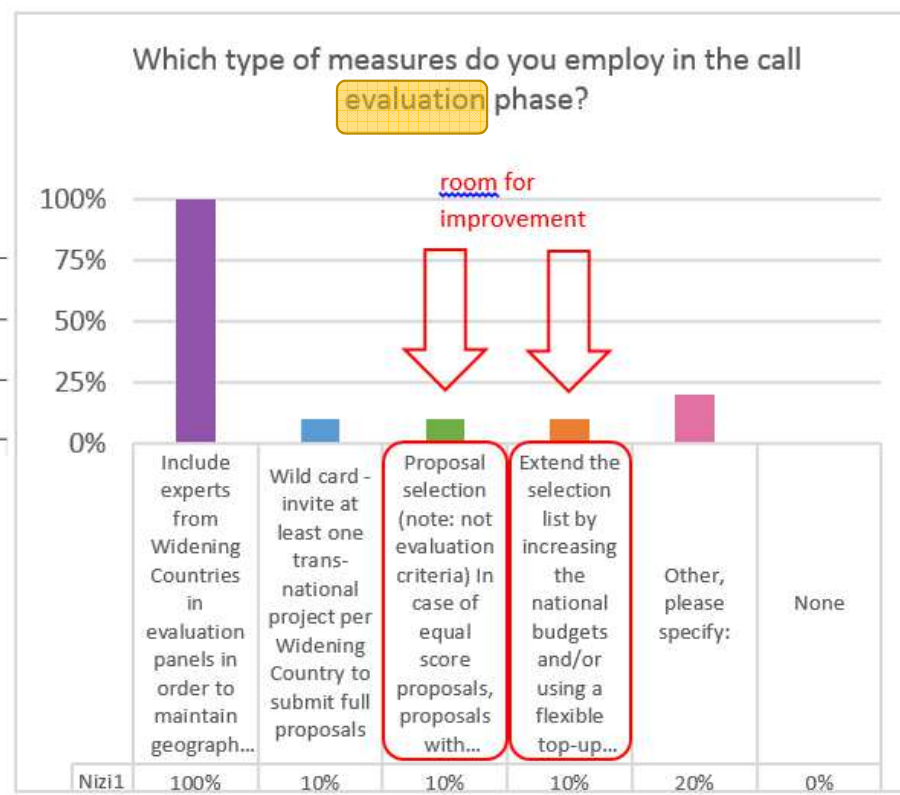
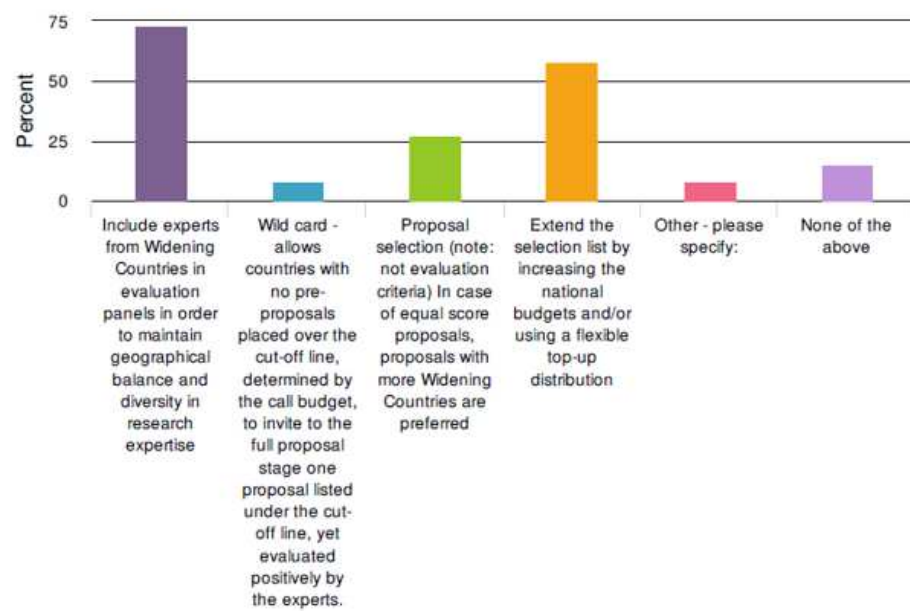
ERA-LEARN EJP and ERA-NET Cofund Analysis vs. Art. 185 and JTI Questionnaire



ERA-LEARN EJP and ERA-NET Cofund Analysis vs. Art. 185 and JTI Questionnaire



ERA-LEARN EJP and ERA-NET Cofund Analysis vs. Art. 185 and JTI Questionnaire



Conclusions (1-3/8)

Conclusion 1

- Most commonly used measures for increasing openness within JPIs are the ones related to communication and/or outreach measures.

Conclusion 2

- JPIs carry out wide range of different measures for openness and transparency within their partnership initiatives.

Conclusion 3

- NCPs from widening countries could offer promotion and/or match making services with partners (or NCPs) of “stronger” countries to have widening partners gain more recognition.



Conclusions (4-6/8)

Conclusion 4

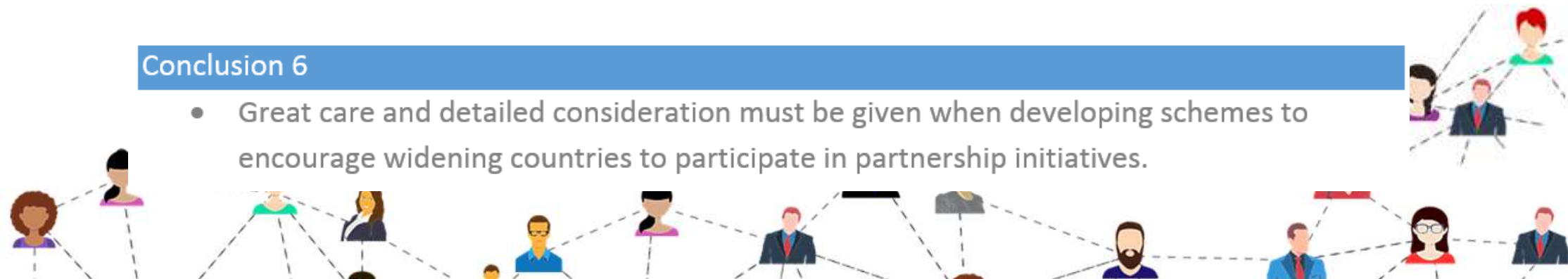
- Availability of ESRs for all FP (co)funded projects would contribute to increased transparency.

Conclusion 5

- Data suggests that Art.185s and JTIs operate with a smaller range of measures for increasing openness compared to JPIs, yet according to *Figure 2. Average involvement in partnership initiatives by EU-13 vs. EU-15* are more successful in including EU-13 countries.

Conclusion 6

- Great care and detailed consideration must be given when developing schemes to encourage widening countries to participate in partnership initiatives.



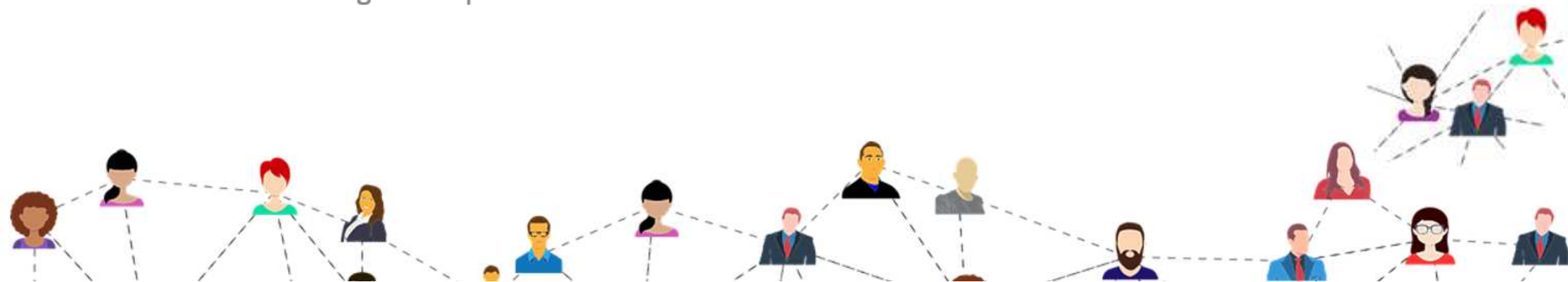
Conclusions (7-8/8)

Conclusion 7

- Flexibility in the call evaluation and call regulations might lead to greater inclusiveness of widening countries.

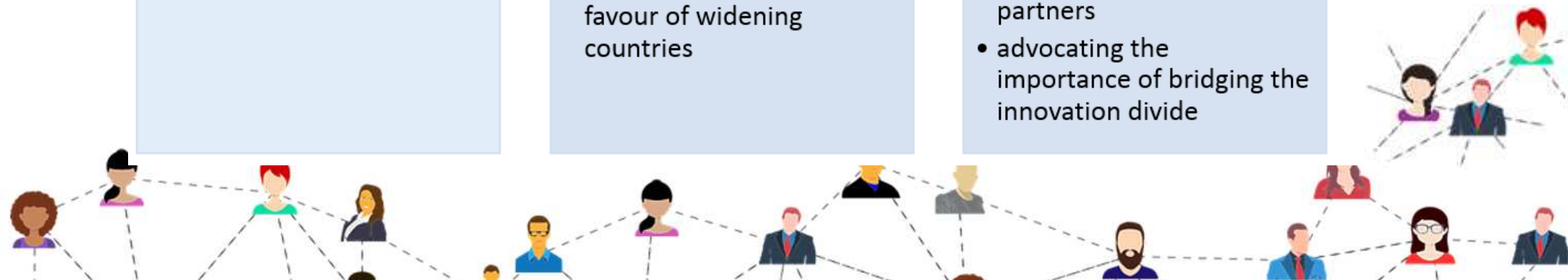
Conclusion 8

- We must also consider what the data does not tell us and take into account the self-reporting bias, where in general, respondents want to respond in a way that makes them look as good as possible.



Recommendations

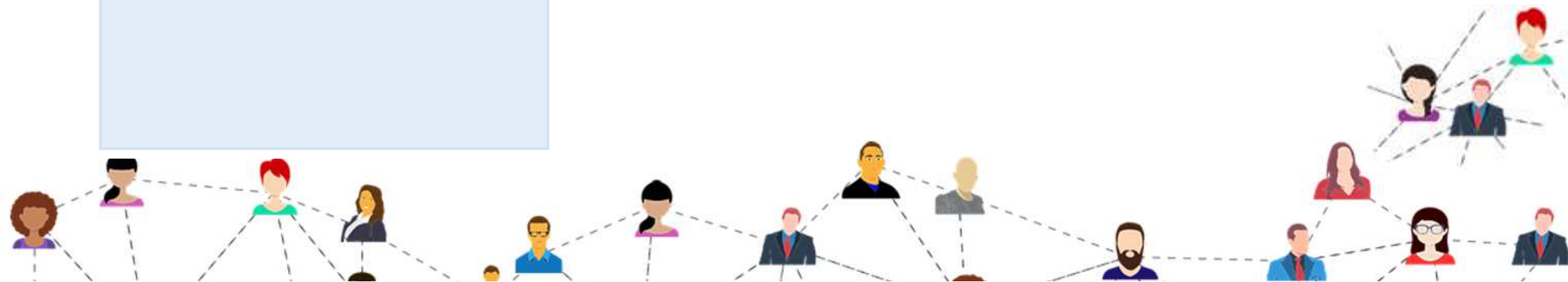
NATIONAL LEVEL (precondition)	LEVEL OF PARTNERSHIP INITIATIVES	EU LEVEL
<ul style="list-style-type: none">• awareness raising and acknowledging benefits of partnership initiatives• assuring national funding and human resources	<ul style="list-style-type: none">• encouraging widening countries to increase their involvement in partnership initiatives• encouraging initiatives and research consortia to include widening countries• enabling call regulations in favour of widening countries	<ul style="list-style-type: none">• encouraging widening countries to increase their involvement in partnership initiatives• allowing flexibility of instruments used by partnership initiatives in a way that widening countries can be valuable partners• advocating the importance of bridging the innovation divide



NATIONAL LEVEL (precondition)

- awareness raising and acknowledging benefits of partnership initiatives
- assuring national funding and human resources

- Involvement in any type of partnership does not solely depend on the openness
- Connected to interest and knowledge of the existing RI landscape
- Recognizing benefits of partnerships
- High profile promotion campaigns



LEVEL OF PARTNERSHIP INITIATIVES

- encouraging widening countries to increase their involvement in partnership initiatives
- encouraging initiatives and research consortia to include widening countries
- enabling call regulations in favour of widening countries

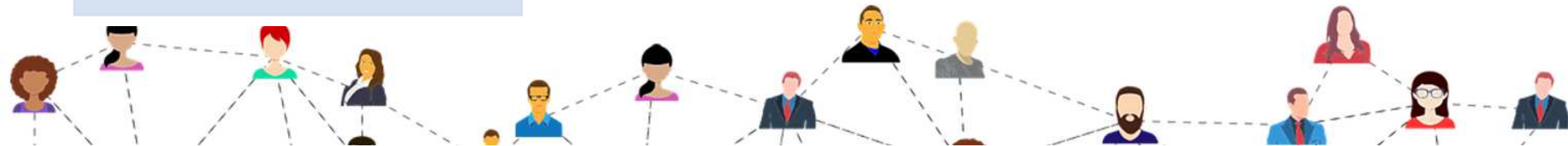
- Increasing the intensity and number of contacts in widening countries
- Support widening countries with trainings, capacity building, workshops → allowing exchange of best practices
- Effective platform for partner search
- Involving in core positions (management boards, strategic advisory boards, transdisciplinary boards, preparation of SRIAs, lead work packages)
- Allowing larger consortium if widening countries are involved



EU LEVEL

- encouraging widening countries to increase their involvement in partnership initiatives
- allowing flexibility of instruments used by partnership initiatives in a way that widening countries can be valuable partners
- advocating the importance of bridging the innovation divide

- Visibility of impacts achieved by partnerships
- Promotion of results of research funded projects under partnerships
- Ensure balanced (fair and proportionate to widening countries) structure of evaluations



Thank you!



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