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LIMITE

ERAC-GPC

WORKING PAPER

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WORKING DOCUMENT

From:	GPC Secretariat
To:	ERAC (GPC)
Subject:	Preparation of the GPC report: draft template for the questionnaire on impact of JP at national level Future of Joint Programming - GPC contribution to the ERAC Ad-hoc Working Group on future of the ERA Recent developments within other ERA-related groups - Implementation of the Action Plan on the ERA review

Delegations will find attached the PowerPoint presentation concerning the items 3, 4 and 8 of the GPC plenary agenda of 4 June 2019.

Item 3

**Preparation of the GPC report: draft
template for the questionnaire on
impact of JP at national level**

Rationale

Workprogramme 2019-20:

- 2nd semester 2020: GPC report on JPP and JPIs
- 1st semester 2020: Preparation and submission of national contributions to GPC, consolidation and preparation of draft report on JPP and JPIs
- 2nd semester 2019: joint work on JPIs monitoring and evaluation (in relation with their Task Force)
- 1st semester 2019: Agreement on methodology for national qualitative assessment of JPP and JPI participation

→ Impact of JP at national level

Methodology

- A general survey on the impact of joint programming at national level will be sent to all GPC delegates, focusing on the macro aspects of impact (policy-level, governance, funding, etc.) – *survey to be filled in by GPC delegates between June and September (before the next meeting on Sept 20 – tbc)*
- A first analysis of this survey is carried out, and several countries are identified and consulted for a more thorough analysis of impact at national level, including a more detailed questionnaire – *between September and November*
- A workshop is organized with those selected countries and open to other delegations as well, in order to share and discuss the results from this work – *back-to-back with the GPC meeting in December (Dec 10 for the GPC meeting / Dec 9 for the workshop - tbc)*

With the help of ERA-LEARN (level of contribution to be determined)

Principles of this survey

- Not restricted to JPIs but on JPP/P2P activities in general
- Needs to be complemented with a more detailed analysis of impact for each JPI
- Closed questions (with options/grading system) + free text for qualitative feedback
- Important to give concrete examples
- The analysis of the feedback will also take into account already available information (MLE report, National Actions Plans, etc.)

Main items in the survey

- Changes in the national system related to JPP and priority 2a
- National coordination
- Monitoring system
- Budget allocation
- Stakeholder involvement
- Influence of SRIAs
- Capacity of RFOs to manage transnational programmes
- Outreach and dissemination
- Participation in groups/bodies related to JPP
- Motivations/incentives to take part to JPP activities
- Negative impacts

Next steps

- Today: any comment on the process, the methodology of the survey, items in the questionnaire (something irrelevant? Anything missing?)
- Written comments from delegations by June 14 (JPIs are welcome to contribute)
- Finalization of the final survey by June 21
- Survey open by the end of June → until our next GPC meeting (Sept 20)?

Item 4

Future of Joint Programming - GPC
contribution to the ERAC Ad-hoc
Working Group on future of the ERA

ERAC ad-hoc group on future of ERA

The Ad-hoc Working Group on the Future of the ERA is expected to advise ERAC on:

- options for a new narrative/paradigm on the future of the ERA, taking into account both the experiences of 20 years of ERA policies and emerging needs for policy reforms;
- possible future ERA priorities and other relevant trends, inter alia of Horizon Europe, which may feed into a new ERA policy framework post-2020.

ERAC ad-hoc group on future of ERA

A. Options for a new narrative/paradigm on the future of the ERA

The WG will provide its assessment of the current state of play and of the factors for success or failure of the ERA policy framework so far, drawing lessons from the experience since 2000. The group will also outline possible options for a new narrative/paradigm on the future of the ERA.

B. Possible future ERA objectives and priorities

The WG will provide a rationale for possible future ERA objectives and priorities. To this purpose, the WG might also exchange with stakeholder organisations and other outside experts, and invite them to provide feedback. This work package is first and foremost future-oriented. It should enable ERAC to contribute to the Commission's reflections in view of a possible new Communication on the ERA in 2020.

ERAC ad-hoc group on future of ERA

Deliverables

The WG will submit a draft ERAC Opinion with the following deliverables to ERAC:

- Options for a new narrative/paradigm for the ERA 2020-2030 (until September 2019)
- Possible future ERA objectives and priorities, taking into account, inter alia, relevant interlinkages with Horizon Europe, in particular the part 'Reforming and enhancing the EU Research and Innovation System' (until December 2019)

Chair: Eduardo Maldonado (PT)

Rapporteur: Alexander Grablowitz (DE)

Questions for all ERA-related groups

1. Which factors, European or national, may have hindered the implementation of your ERA Group's priority?
2. What should be the role of the European Union in promoting further implementation of your ERA-Group's priority during the next decade?
3. In the next 5-10 years, which actions/advances should be promoted at national level to ensure an effective implementation of your ERA-Group's priority?
4. Would the involvement of other stakeholders in a future ERA framework (namely researchers and the broader society) be a positive contribution to the quality and impact of the work towards full implementation of your ERA priority?
5. Please consider the following draft skeleton for a new ERA narrative. Which role do you see for your current priority within this framework?

→ For 1st week of July at the latest...

ERA after 2020

- After 2020, what about the topics currently related to priority 2a ? New rationale / new priorities related to our topics?
- Priority 2a « jointly addressing grand challenges » → JPP? Partnerships? SDGs? ...
- Changing landscape: transitional forum on partnerships – including a decision at a later stage on the forum after 2020
- New topic for the GPC: transparency and openness of networks (linked to a more general issue of inclusiveness)
- What will be the position/role of JPIs after 2020 in the new landscape of partnerships?

Support through Horizon Europe

2. REFORMING AND ENHANCING THE EU RESEARCH AND INNOVATION SYSTEM

Policy reforms at national level will be mutually reinforced and complemented through the development of EU-level policy initiatives, research, networking, partnering, coordination, data collection and monitoring and evaluation.

– Support for policy makers, funding bodies, research performing organisations (including universities) or advisory groups working on ERA and ERA related policies or implementing coordination and support measures supporting the ERA to ensure that these are to be well-aligned towards developing and implementing a coherent and longterm sustaining ERA. Such support may take the form of Coordination and Support Actions (CSAs) in a bottom-up and competitive way to support programme level collaboration between research and innovation programme of Member States, Associated Countries and civil society organisations such as foundations, on priorities of their choice, with a clear focus on the implementation of transnational joint activities including calls. It will be based on clear commitments from participating programmes to pool resources and ensure complementarity between activities and policies with those of the Framework Programme and relevant European Partnership Initiatives.

Item 8

Recent developments within other ERA-related groups - Implementation of the Action Plan on the ERA review

GPC Actions

1. Based on input from a GPC task force and after consultation with the ERAC SB, GPC will update its work programme (Rec. 4 and 27) → **ok**
2. ERA stakeholder organisations provide information to ERAC and are invited to selected meetings of ERAC and/or other ERA-related groups, wherever relevant (Rec. 19). (*The responsibility for this action is shared with all other ERA-related groups*) → **ok, possible to invite some of them in future meetings too**
3. Gender issues shall be discussed under a dedicated agenda item in GPC as necessary and in relation to its specific mission and mandate . External experts and/or members of the SWG GRI are invited to contribute (Rec. 34). (*The responsibility for this action is shared with all other ERA-related groups*) → **to be discussed**
4. Revisit the rules of procedures of GPC and SFIC. Drop interpretation services for GPC and SFIC (Rec. 23). (*The responsibility for this action is shared with SFIC*) → **starting next meeting**
5. All ERA-related groups select a rapporteur to conduct and present a stock-taking exercise of NAPs for their respective ERA Priority (Rec. 12). (*The responsibility for this action is shared with all other ERA-related groups*) → **ok**
6. ERA-related groups, especially Chairs, reflect on the proper volume and content of meeting documents and how to improve timely distribution thereof (Rec. 23). (*The responsibility for this action is shared with all other ERA-related groups*) → **to be discussed**