



Council of the European Union
General Secretariat

Brussels, 25 March 2019

WK 4209/2019 INIT

LIMITE

RECH

WORKING PAPER

This is a paper intended for a specific community of recipients. Handling and further distribution are under the sole responsibility of community members.

WORKING DOCUMENT

From: ERAC Secretariat
To: ERAC (European Research Area and Innovation Committee)
Subject: PowerPoint presentation: Monitoring ERA National Action Plans

Delegations will find attached the PowerPoint presentation "Monitoring ERA National Action Plans" given under item 5.1 of the ERAC Plenary agenda of 22 March 2019.

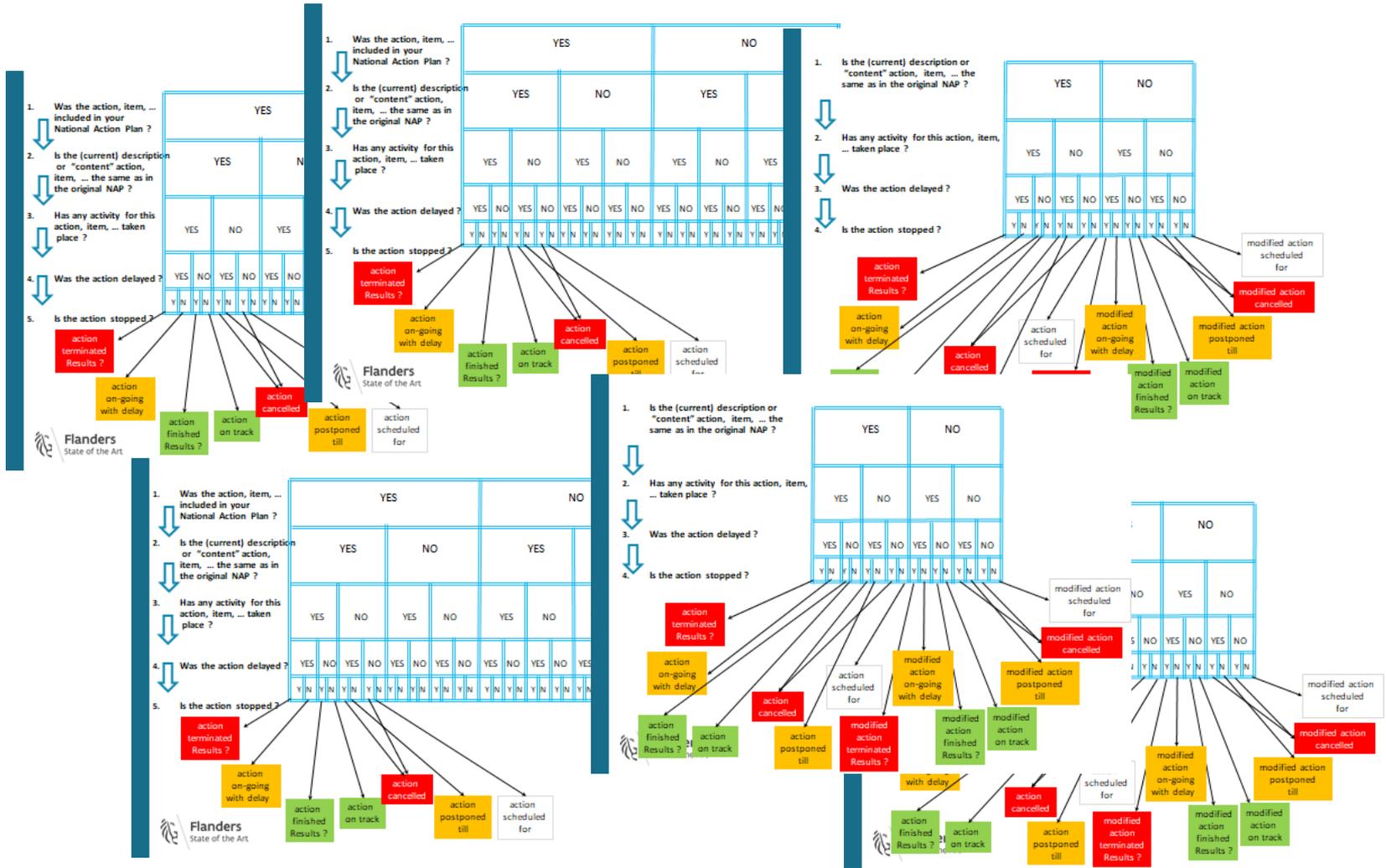
MONITORING ERA NATIONAL ACTION PLANS

ERAC Plenary, 21 March 2019
Cecilia Cabello, Rapporteur

Work plan for Monitoring NAPs: **Priority 1**

Activity/Task	Description	Start /Finish
Appointment of rapporteur and support team	Cecilia Cabello (Rapporteur) Arie Van Der Zwan (European Commission) Ward Ziarko (Belspo) Peter Spyn (GPC)	December 2018
Adopt the GPC methodology for priority 1	Excel file of GPC tool adopted to include suggestions made in the Workshop discussions; Presentation at ERAC Steering Board meeting	30 January 2019
Extracting the actions/measures of Priority 1 from NAPs and preparation information	Testing and preparation of tool Simulation of tool (3 countries) Revision of NAPs	February 2019
Request for input and presentation at ERAC plenary	Request for input (March 8 to April 8) Presentation at ERAC Plenary Bucharest	March - April 2019
Process/analysis results of the exercise	Presentation to ERAC SB (preliminary results)	May 7 2019
Preparation overall narrative/ final report	Final report /executive summary	End of May 2019
Presentation ERAC	Presentation at ERAC Plenary in June	June 2019

Adopting tool for monitoring NAPS priority 1



ADOPTING TOOL FOR MONITORING NAPs PRIORITY 1

1. Spreadsheet is prepared for Member States that have described actions in their National Action Plans.
2. Below the prefilled actions, Delegates can include new measures that have been introduced at a later stage.
3. In column "C" Delegates should assess the progress of each action with the following questions:

(1) Has any activity taken place for this action?	YES		NO
(2) Is the action accomplished (stopped)?	YES	NO	
	FINISHED	ON-GOING	CANCELLED

4. In column "D", if the action can be evaluated (assessed), Delegates should answer the question on whether the action has been evaluated.
5. Additional comments may be written in column "E". For instance:
 - If the action has been cancelled, an explanation would be useful.
 - If the action has been modified, a concise comment would be useful.
 - If the action has been delayed, a concise comment would be useful.
 - Underline if the action can be strongly recommended to other countries in order to increase the effectiveness of their national research systems.

MONITORING NAPs PRIORITY 1: EXAMPLE

AREAS OF ACTIONS as written in ERA NAPs	ACTIONS as written in ERA NAPs	PROGRESS RATING	HAS THE ACTION BEEN EVALUATED (OR ASSESSED) (YES/NO/LEAVE EMPTY IF NOT APPLICABLE). If "Yes", please give a short description of the outcome: positive/mixed/negative	COMMENTS of ERAC Delegates concerning actions
1. Monitoring and evaluation of public RDI policies and impact assessment of the results from the public sector funded activities	Creation and consolidation of a high level RDI policy unit at the State Secretariat	FINISHED		There has been changes in the organizational structure of the government which affect this action directly.
	Definition of a consistent, robust and internationally standards-based methodology that facilitates ex post monitoring of RDI publicly funded activities embedded in the State Plan 2017-2020.	ON-GOING		This activity is on going.
	Development and implementation of an Action Plan for the Evaluation of RDI Policies and Programmes 2017-2020	ON-GOING		
2. Efficient and flexible national management model of public funding	Effective implementation of the State Research Agency	ON-GOING		A new director was selected in december 2018
	The creation of a unit dedicated to the simplification and adoption of common rules of procedure	ON-GOING		
3. International peer review for publicly funded activities	To raise by 25% the number of international evaluators that participate regularly in remote evaluation process of public calls of the State Plan 2017-2020	ON-GOING		
4. Promotion of Spanish research groups and companies in Horizon 2020	To consolidate schemes similar to the "Seal of Excellence" and that are associated to the H2020 calls, that recognise and fund researchers, groups and companies that have passed the international evaluation threshold, but have not been supported due to the lack of availability of funds	ON-GOING		
5. Planning and stability of public RDI funds	To consolidate RDI public national budget through a gradual and sustained increase which will allow adequate planning and embed stability included in the State Plan 2017-2020.	ON-GOING		
6. Impact of RDI public investment and policy coordination between the Central Government and the Regional Governments	To foster the «Seal of Excellence» between the regions for all those calls from the State Administration that allow it, such as in RDI projects. To foster the recognition of the scientific and technical evaluation carried out through the same scheme.	ON-GOING		

NEXT STEPS

- ERAC is responsible for progress of ERA Priority 1. Contributions for Priority 1 should be sent to rapporteur **by April 8.**
- ERA related groups are also collecting input (or have all already collected) the progress for their respective priorities (GPC, SFIC, ESFRI, OSI, HRM, GRI)
- All progress on the ERA priorities will be reported at next ERAC plenary in June 2019
- The monitoring exercise of the ERA priorities NAPs will feed into ERAC annual report; and may other objectives such as contributing to the future ERA progress reports and to inform on the progress of the ERA Roadmap 2015-2020.