NOTE
From: SFIC Secretariat
To: Delegations
Subject: Final report of the SFIC Africa Task Force

Delegations will find in Annex the final report of the SFIC Africa Task Force, as approved by SFIC by written procedure.
Strategic Forum for International Cooperation (SFIC)

SFIC Africa Task Force Strategic Report

This document includes the SFIC Africa Task Force’s analysis and orientations for future European-African cooperation in science, innovation and higher education.

Rapporteur: Armela Dino
08/05/2020
CONTENTS

0. INTRODUCTION ............................................................................................................. 2

1. MOTIVATION .............................................................................................................. 4

2. ANALYSIS .................................................................................................................. 6

   Current Activities ...................................................................................................... 6

   Remarkable Initiatives ................................................................................................. 8

   Successes and Constraints ......................................................................................... 11

3. STRATEGIC ORIENTATIONS ................................................................................... 13

   Instruments ................................................................................................................. 13

   Themes ........................................................................................................................ 14

4. RECOMMENDATIONS AND OPPORTUNITIES ....................................................... 17

   Recommendations ..................................................................................................... 17

   Opportunities ............................................................................................................. 17

5. CONCLUSIONS ......................................................................................................... 19

6. BIBLIOGRAPHY ........................................................................................................ 20

7. LIST OF CONTRIBUTORS ............................................................................................ 22

8. ANNEX: DESCRIPTION OF THE INITIATIVES ...................................................... 25
0. INTRODUCTION

The SFIC Africa Task Force strategic report is an analysis and orientation document expressing the views of the delegations of 11 European countries1 and the European Commission on policy for collaboration with Africa in relation to various aspects of research, innovation and higher education.

The Strategic Forum for International Cooperation (SFIC) is a preparatory body of the Council of the EU that works on the development of the European Research Area. Its Africa Task Force, coordinated by Spain, was established2 with the goal of taking advantage of the political momentum in order to understand, enhance and develop policy related to collaboration with Africa.

The report was compiled in consultation with over 40 European, African and international stakeholders, beyond the European national delegations and the European Commission, in March 2020 at the first SFIC Africa Strategic Workshop in Brussels. The different stakeholders’ views have been incorporated into the document.

The SFIC Africa Task Force strategic report is structured as follows:

- **Section 1. Motivation** outlines the main drives for working on this paper at this point in time.
- **Section 2. Analysis** summarises the current activities and priorities, highlights remarkable initiatives, and enumerates some constraints that need to be mitigated in future collaborations.
- **Section 3. Strategic Orientations** indicates the main principles for collaboration and states orientations both in terms of instruments and thematically.

---

1 AT (Austria), BE (Belgium), DE (Germany), FI (Finland), FR (France), EE (Estonia), ES (Spain), HU (Hungary) MT (Malta), NO (Norway), and PT (Portugal).
- Section 4. **Recommendations and Opportunities** includes the main implementation recommendations and highlights the opportunities that may enable uptake of the strategic orientations.

- Section 5. **Conclusions** briefly points out the final intended outcome of this document.


The document was written between January and May 2020 with the support of the Task Force delegations and the Strategic Workshop contributors.
1. MOTIVATION

Any global perspective on the future of science must include Africa. (Nature, 2019)

Work on this report started in January 2020, with all SFIC Africa Task Force participants committed to bringing out a consolidated opinion from 11 European countries and the European Commission on the future of European-African collaboration in research, innovation and higher education.

Our work has started in a unique time, when the Sustainable Development Goals are being implemented, the new Commission has placed Africa at the cornerstone of international collaboration, the European Research and Innovation programme Horizon Europe is being prepared, and the narrative of the new European Research Area is being developed. As we worked on our report, the COVID-19 pandemic set off an unprecedented crisis, which is having devastating effects on countries and extraordinary consequences for the world as a whole. This crisis is another motivation for our work – for establishing sustainable collaboration with our African partners and laying the foundations for the mitigation of shared challenges that may arise in the future.

Africa, our neighbour continent, is home to the world’s youngest rising population. It holds some of the planet’s most abundant intellectual capacities and has wide-ranging potential for sustainable development.

For more than a decade now, the European Union and the African Union have built a solid strategic and political partnership, anchored in reciprocal commitment and based on shared values and interests.

Both continents are facing the same key challenges: the need to promote sustainable growth and jobs, the green transition, digital transformation, mobility, security and good governance, and nowadays, most crucially, a pandemic. This makes enhanced collaboration between Europe and Africa even more relevant in a fast-evolving, interconnected global environment.
Research and innovation are widely recognised as strong and effective engines for sustainable development, and – as we are seeing in the present moment – they are enablers for bringing solutions to the table. The role of research and innovation activities and policy is becoming key and urgent in facing Africa and Europe’s shared challenges.

This is part of the reason why one of the pillars proposed in the Communication from the European Commission to the European Parliament and the Council ‘Towards a comprehensive strategy with Africa’ is dedicated to the enhancement of learning, knowledge and skills, and research and innovation capacities, particularly for youth and women. In line with the Sustainable Development Goals, this priority is fully supported by the Task Force and serves as a motivation for our work.

The SFIC Africa Task Force believes that research, innovation, and higher education policy are enablers of positive change, and takes inspiration from this as it works towards enhanced collaboration between the continents.
2. ANALYSIS

CURRENT ACTIVITIES

In 2019, the SFIC Benchmarking Working Group\(^3\), coordinated by France, carried out a mapping exercise of international collaboration initiatives that the governments and funding agencies of SFIC countries have established with third countries. This exercise showed that, at the level of governmental and research-funding organisations, 24 European countries\(^4\) are engaged in active collaborations (either bilaterally or multilaterally) with 34 African countries.

![Countries with activities in Africa, MT is one of them, although not visible on the map.](image1)

The African countries that hold the most active agreements with European governments and/or European state funding agencies are South Africa (15 agreements), Egypt and Morocco (9 each), Tunisia (6), Ethiopia (5), Algeria, Kenya and Tanzania (4 each).

---

\(^3\) ST 1359/2019 INIT (20 December 2019)

\(^4\) AT, BE, CH, CY, CZ, DE, DK, EE, EL, ES, FI, FR, HR, IE, IS, LT, MT, NL, NO, PL, PT, RO, SE and SI.
Although the **topics of collaboration** are diverse, the main ones can be identified in the word cloud in Figure 3.

An analysis of European and African relations was carried out as a conclusion of CAAST-NET Plus\(^5\) in the book *Africa-Europe Research and Innovation Cooperation*, published by Palgrave in 2018. It shows that **African countries have successfully participated in several competitive funding programmes supported by the European Union**. Even if participation remains highly concentrated in a few countries, it is to be highlighted that the EU and Africa are already engaged in strategic research collaboration (Cherry et al, 2018).

Another example of strategic collaboration can be seen in the African countries that have a **joint structure with and/or a delegate from European SFIC countries**\(^6\), as represented in Figure 4.

---

\(^5\) The Network for the Coordination and Advancement of Sub-Saharan Africa-EU Science and Technology Cooperation.

\(^6\) As identified by the Benchmarking Working Group mapping exercise, 2019.
The SFIC Africa Task Force compiled the priorities of collaboration with Africa, in terms of geographical areas and topics of cooperation, of 10 of the countries participating in the TF. Word representations of that exercise are given in Figure 5.

Figure 5: The countries/areas and topics most often mentioned.

REMARKABLE INITIATIVES

With the objective of providing a portfolio of successful strategies, initiatives and activities, the SFIC Africa Task Force would highlight the ones mentioned below. For each one, the full name of the initiative, a hyperlink and a footnote with the webpage are provided. A short description of each activity can be found in the Annex.

At a European level

- AU-EU High-Level Policy Dialogue on STI\(^2\): food and nutrition security and sustainable agriculture (FNSSA, implemented through LEAP-Agni\(^6\) and LEAP4FNSSA), climate change and sustainable energy (CCSE, implemented through LEAP-RE\(^8\)), and the Innovation Partnership\(^9\).
- The European & Developing Countries Clinical Trials Partnership (EDCTP)\(^11\).
- The Partnership for Research and Innovation in the Mediterranean Area (PRIMA)\(^12\).

\(^6\) https://www.leapagri.com/
\(^8\) https://ec.europa.eu/info/funding-tenders/oppreunites/portal/screen/oppprunities/topic-detail/lc-633ja-5-2020
\(^9\) https://africaeurope-innovationpartnership.net/
\(^11\) https://www.edctp.org/
\(^12\) http://prima-med.org/
- The Union for the Mediterranean (UfM) Regional Platform in Research and Innovation (formerly EU-MED CSO)\(^8\).
- Erasmus+ for Africa\(^4\).
- Intra-Africa Academic Mobility Scheme\(^5\).
- Marie Skłodowska Curie Actions (MSCA)\(^6\).
- The Development Cooperation Instrument (DCI)\(^7\).
- The collaboration between the African Research Universities Alliance (ARUA)\(^8\) and the Guild of European Research-Intensive Universities (The Guild)\(^9\).
- Belém Statement implementation (CSA_Bulding and All Atlantic Ocean Community – Aanchor)\(^10\).
- IST-Africa\(^22\).

\(^{8}\) https://ufmsecretariat.org/
\(^{10}\) https://eacea.ec.europa.eu/edap同行actions_en.
\(^{13}\) http://rua.org.za/.
\(^{14}\) https://www.theguild.eu/.
\(^{20}\) This project has ended. https://cordis.europa.eu/project/id/211355.
\(^{21}\) This project has ended. https://cordis.europa.eu/project/id/317280.
\(^{22}\) This project has ended. https://cordis.europa.eu/project/id/318615.
\(^{23}\) This project has ended. https://cordis.europa.eu/project/id/311806.
\(^{24}\) https://www.africa-eu-sts-portal.net/.
\(^{25}\) This project has ended. https://www.erafrica.eu/.
\(^{26}\) https://www.euratlanticocean.org/.
\(^{27}\) http://www.IST-Africa.org/.
- **Africa Connect**\(^{28}\), funded by the European Development Fund (EDF) to establish high-capacity Internet networks for research and education across Africa and to strengthen links with Europe to facilitate global collaboration.

- **Digital4Development**\(^{29}\) framework, developed by the European Commission to mainstream digital technologies across main priority areas.

---

**Multilaterally**

- The ‘5+5 Dialogue’ of the West Mediterranean Forum for Research, Innovation and Higher Education\(^{30}\).

---

**Bilaterally**

- The Austrian Partnership Programme in Higher Education and Research for Development (APPEAR)\(^{31}\).

- The Memorandum of Understanding between Estonia and the African Union, funded by the UNDP, on digital transformation for achieving the SDCs.

- The BMZ German programme **Make-IT Digital in Africa**\(^{32}\) supporting the creation and clustering of start-ups.

- The Finnish programme **FinCEAL Plus BRIDGES**\(^{33}\) supports research cooperation between researchers based in Finnish institutions and the research community in Africa.

- The Climate Service Centres **SASSCAL**\(^{34}\) and **WASCAL**\(^{35}\) are a German initiative run in cooperation with several Southern and Western African countries.

---

\(^{28}\) This project has ended. [https://www.africaconnect2.net/](https://www.africaconnect2.net/)


\(^{31}\) [https://appear adel](https://appear adel)


\(^{33}\) [https://www.unpld.it/FinCeAL/what-is-finceal](https://www.unpld.it/FinCeAL/what-is-finceal)

\(^{34}\) [http://www.sasscal.org](http://www.sasscal.org)

\(^{35}\) [https://wascal.org](https://wascal.org)
- The German-African project ‘Atlas of Green Hydrogen Production potential in Africa’ is analysing opportunities for establishing a hydrogen economy in Africa. It involves all ECOWAS and SADC member countries.

- New joint university hubs that France has created with Tunisia, Senegal, and Côte d’Ivoire to promote students’ and researchers’ mobility. Africa is a focal point in France’s international strategy, with ambitious objectives in terms of student and researcher mobility and the new joint university hubs mentioned above. A joint approach with Germany is also planned for climate research.

- Initiative Knowledge for Development: collaborative research projects between Portuguese and African countries and Aga Khan Development Network research and academic institutions, which aim to help develop initiatives that can have a meaningful impact by consolidating research capacity in Africa.

- The VLIR-UOS comprehensive programme in Belgium is built on a portfolio of diverse complementary instruments and works with 10 target African countries for long-term cooperation between research and higher education universities.

Internationally

- The African Centres of Excellence (ACE), funded by the World Bank.

- LIRA (Leading Integrated Research for Agenda 2030 in Africa) and the African Open Science Platform by the International Science Council.

SUCCESSES AND CONSTRAINTS

Some of the aspects that have made these initiatives remarkable are:

---

* https://www.sadc.int.
* http://uftam.net/.
* https://www.campusfrancosenegalais.org/.
* https://www.vilins.br/.
* https://ace.asu.org/.
* https://councilscience/.
- The **collaboration** involved in the co-creation, co-funding and joint implementation of the whole process behind each initiative.

- The **involvement** of political stakeholders and institutions that support research, innovation and higher education as engines for growth and transformation to help face global challenges.

- The **creation of joint working platforms** where decisions are worked upon with a variety of stakeholders.

Some of the **barriers or constraints** that have been encountered during the collaborations and that, to a certain degree, hinder the desired effects have been examined in the report ‘Mapping of best practice regional and multi-country cooperative STI initiatives between Africa and Europe’ (European Commission, 2015)\(^7\).

In its analysis, the report enumerates **several constraints**, among which the SFIC Africa Task Force would highlight the following:

- **Few established joint funding mechanisms**/arrangements.

- **Low level** of engagement between academia, the private sector and civil society/communities.

- **Lack of established science councils** in most African countries.

- **Limited predictability** of disbursements of STI funds once granted.

- **Missing links** between science, technology and innovation policy and other domains, including but not limited to higher education.

- **Low SME participation**, especially in Africa.

However, **more thorough analysis is needed, drawing on the evaluations of the different initiatives**, where they exist. The need to understand what works best in which conditions is important for each of the initiatives put in place, but this requires evaluation expertise in the longer term, which is beyond the scope of the SFIC Africa Task Force.

---

3. STRATEGIC ORIENTATIONS

The SFIC Africa Task Force orientations focus on future cooperation with Africa in research, innovation and higher education policy in the timeframe of five to seven years, including some immediate actions. The document addresses complex policy issues mainly related to (but not limited to) research, innovation and higher education policy.

The orientations follow the principles of co-creation and co-ownership, sustainability and openness, and take into account the need to continuously evaluate and learn at all levels, from policy design to implementation.

INSTRUMENTS

In terms of instruments, the SFIC Africa Task Force recommends that stakeholders:

1. Find mechanisms that encourage and make more effective the wider involvement of European countries and the Commission in supporting and contributing to the High Level Policy Dialogue (HLPD) and the Union for the Mediterranean (UfM) Regional Platform in Research and Innovation. The mechanisms should support the policy dialogues by ensuring comprehensive stakeholder involvement in co-creation, facilitating effective planning for smart directionality, and enhancing communication and outreach.

2. Enable instruments that can take forward existing programmes and projects running between European partners and their counterparts in Africa that enable the knowledge triangle\(^4\) and place special focus on youth through capacity building, fostering entrepreneurship and co-creation.

3. Enhance African participation in instruments such as COST, EUREKA, Erasmus+ and the MSCA Actions, and other ERA options for expansion, such as the Twinning and Teaming instruments, which can encourage the strengthening of institutions and promote excellent science in Africa. Capacity-building projects

---

\(^4\) The knowledge triangle model could be inspired by the model of the European Institute of Innovation and Technology (EIT, https://eit.europa.eu) and adapted to the African context.
should also be enhanced to promote the exchange of good practices between Africa and Europe, such as the PanAfGeo Project, where African and European scientists together led a comprehensive geoscientific training programme.

4. Support co-funded mechanisms based on variable geometry that can be used as a common standard to make co-funding faster, easier, more usable and more efficient. Feasible options for public-private partnerships should be included. A good example of successful cooperation in this respect is the PRIMA programme that is currently co-funded by participating states and the European Commission.

5. Support the collaboration with Africa under way as part of Horizon Europe’s Clusters, Missions and Partnerships, and particularly those related to the food-water-energy nexus. Better integration of African countries should be fostered through their national funding bodies in Partnerships.

6. Support science diplomacy mechanisms through more effective coordination for policy coherence and joint structures and/or delegates that serve as nodes of information and support. Alumni and diaspora should be involved, and dialogue and cooperation should be established with the RECs in Africa.

7. Promote world-class research infrastructures, both through facilitating access to such structures for African stakeholders and through establishing them in the continent.

8. Create dialogue platforms for smart triangular cooperation with third countries, such as the USA, Japan and South Korea. These platforms could be created both at a general level and in specific domains of common interest.

**THEMES**

In terms of thematic collaboration and responding to the Sustainable Development Goals framework, the SFIC Africa Task Force recommends that stakeholders:

1. Include the youth perspective in future research, innovation and higher education collaboration, in line with Action 5 proposed in the Commission Communication ‘Towards a comprehensive Strategy with Africa’ and following
the *Orientations for the Horizon Europe Strategic Plan*[^9] (European Commission, January 2020) by, for example:

- **promoting synergies** between the Erasmus+, Horizon Europe and other relevant EU programmes, and fostering consistency and complementarity between EU and national programmes;

- **creating doctoral schools** to help significantly increase the number of top-quality scientists, which will enable the strengthening of African universities in a sustainable way; working hand in hand with European universities and reinforcing the supervisory capacities of doctoral candidates in Africa;

- providing co-funding to ensure that **early-career researchers** can develop world-leading research agendas in Africa.

2. Encourage **innovation**:

   a) Following the establishment of the **HLPD Innovation Partnership** and of ENRICH centres, look into relevant activities of the countries in order to invest in synergy potentials. Include initiatives supporting **technology transfer**. Support research and innovation cooperation between European and African companies (in particular **small and medium enterprises**) on a lower-tech and innovation level (e.g. frugal innovation programmes); make smart use of local intelligence and adapted business-driven models (e.g. in sectors like agro-food-nutrition, circular economy – both green and blue, One Health, raw materials, etc.), while also using digitalisation and artificial intelligence as transversal enablers.

   b) **Engage in new forms of cooperation with Africa** including through the use of **innovative financial engineering**. Africa can be targeted for cooperation by the World Economic Forum initiative (the ‘Global Climate Tech Equity Fund’), the European Green Deal, etc. Enhance cooperation relating to **digital transformation** from the infrastructure, application and skills perspectives.

3. Use the European Green Deal as an attractive, comprehensive cooperation package for African-European cooperation in research, innovation and higher education. Engage African partners in the European Green Deal compromise, particularly in the Farm-to-Fork area, where appropriate, and promote the development and application of new digital technologies. Specific calls made under the European Green Deal need to target collaboration with Africa in line with the strategic orientations included in this report.

4. Encourage intra-African cooperation in line with the existing AU-EU Higher Education Programme, under the Africa-EU Partnership. A good example is the alliance between The Guild and the African Research Universities Alliance (ARUA).

5. Boost research on social sciences, with a special focus on youth and demographic studies, mitigation and management of international crises, and culture and humanities. Include the social science perspective in research projects with Africa, such as H2020 calls on migration and climate, and cross-cutting issues such as information systems and open data policy studies.

6. Make effective efforts to close the gender gap. Capturing the full potential for greater involvement in research, innovation, higher education, digitalisation and entrepreneurship is key. Enhance massive online capacity-building schemes.

7. Engage in the green and blue economy. In the HLPD partnerships for FNSSA and CCSE, as well as in the areas of the Green Deal, special attention should be given to the potential of the blue economy (marine sciences and applications), space sciences and applications (in particular Earth observation), the development of nature based solutions and the green economy.

8. Engage in close collaboration on the prevention, mitigation and management of urgent international crises and – more specifically to public health – the COVID-19 pandemic. Find ways to effectively unite research enablers and efforts in every dimension of crisis prevention and management. Foster open science practices.
4. RECOMMENDATIONS AND OPPORTUNITIES

RECOMMENDATIONS

Following the strategic orientations given above, the SFIC Africa Task Force recommends improved integration of research, innovation and higher education activities at different levels of policy-making, and more specifically at the European Commission level. The complementarity and synergetic aspects of these activities need to be emphasised.

The Task Force would recommend making cooperation mechanisms more transparent, simpler and easier to understand in order to make collaboration more attractive, particularly for African partners. Simplifying participation by keeping cooperation flexible, whilst framing it in a holistic, long-term and sustainable perspective, is recommended.

The SFIC Africa Task Force highlights the need for actions proposed under the strategic orientations to be financially sustainable, with continuous evaluation and ongoing improvement of the initiatives.

OPPORTUNITIES

The SFIC Africa Task Force sees several opportunities which may pave the way for uptake of its orientations in the near future:

- The European Commission’s International Strategy and the development of the international dimension of the European Research Area, expected in summer 2020.

- The international cooperation negotiations under the Framework Programme for European Research and Innovation, Horizon Europe 2021-2027.

- The definition of a joint partnership agenda at the upcoming 6th EU-AU summit in October 2020, in preparation for which the European Commission has published the Communication to the European Parliament and the Council ‘Towards a comprehensive strategy with Africa’.
- The implementation of the European Green Deal compromise that started during H2020 and will be continued during 2021-2027.

- The New Development and International Cooperation Instrument (NDICI) – which can help build and strengthen research, innovation and higher education capacities.

- The continuous development of the HLPD Bureau’s effective work.

- The Union for the Mediterranean (UfM) Regional Platform in Research and Innovation – creating potential bridges between the various geographical dialogues, especially for Neighbourhood partners.
5. CONCLUSIONS

The SFIC Africa Task Force strategic report is an analysis and orientation document expressing the views of the delegations of 11 European countries\(^{29}\) and the European Commission, and taking into account the input of over 40 European, African and international stakeholders, on policy for collaboration with Africa on various aspects of research, innovation and higher education.

The report starts with an analysis of the current activities and priorities, highlights remarkable initiatives, and enumerates some constraints that need to be mitigated in future collaborations.

Sixteen strategic orientations for future collaboration have been developed as the core part of the SFIC Africa Task Force’s work. The objective of these orientations is to enhance collaboration of stakeholders at different levels and give impetus and direction to European-African collaboration on research, innovation and higher education in the coming years.

Due to the diversity of the collaborative activities under way in the fields of research, innovation and higher education, stakeholders must strive to continuously enhance collaboration and place more focus on ensuring effectiveness.

The work completed in the framework of the SFIC Africa Task Force aims to be complementary to the ongoing strategic initiatives at all levels.

This report will be disseminated to all interested stakeholders.

\(^{29}\) AT (Austria), BE (Belgium), DE (Germany), FI (Finland), FR (France), EE (Estonia), ES (Spain), HU (Hungary) MT (Malta), NO (Norway), PT (Portugal).
6. BIBLIOGRAPHY


https://au.int/en/agenda2063/overview


# 7. List of Contributors

**SFIC Africa Task Force Members**

<table>
<thead>
<tr>
<th>AT</th>
<th>BRIGITTE WEISS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MARTINA HARTL</td>
</tr>
<tr>
<td></td>
<td>RAF KOENIG</td>
</tr>
<tr>
<td></td>
<td>ZHONGWEI SUN</td>
</tr>
<tr>
<td>BE</td>
<td>BRIGITTE DECADT</td>
</tr>
<tr>
<td></td>
<td>PETER SPYNS</td>
</tr>
<tr>
<td></td>
<td>PHILIPPE DESMETH</td>
</tr>
<tr>
<td>DE</td>
<td>EVA ZIEGERT</td>
</tr>
<tr>
<td></td>
<td>JONAS ABS</td>
</tr>
<tr>
<td></td>
<td>KRISTOF BERTRAM</td>
</tr>
<tr>
<td></td>
<td>NORA VOGT</td>
</tr>
<tr>
<td>FI</td>
<td>TILINA VIHMA-PUROVAARA</td>
</tr>
<tr>
<td>FR</td>
<td>AXEL LEISENBERG</td>
</tr>
<tr>
<td></td>
<td>OLIVIER STEFFEN</td>
</tr>
<tr>
<td></td>
<td>ROZENN SAUNIER</td>
</tr>
<tr>
<td>EE</td>
<td>HELDI PAJU</td>
</tr>
<tr>
<td></td>
<td>SANDRA PRILMAN</td>
</tr>
<tr>
<td>ES</td>
<td>ARMELA DINO</td>
</tr>
<tr>
<td></td>
<td>CLARA EUGENIA GARCIA</td>
</tr>
<tr>
<td></td>
<td>GONZALO LEON</td>
</tr>
<tr>
<td></td>
<td>JOSE JUAN SANCHEZ SERRANO</td>
</tr>
<tr>
<td>EC</td>
<td>ETHYMIOS SAKELLARIOU</td>
</tr>
<tr>
<td></td>
<td>PADILA BOUGHANEMI</td>
</tr>
<tr>
<td></td>
<td>FIORELLA PEROTTO</td>
</tr>
<tr>
<td></td>
<td>JENS HOEGEL</td>
</tr>
<tr>
<td></td>
<td>JULIE LEPRETRE</td>
</tr>
<tr>
<td></td>
<td>NINA COMMEAU</td>
</tr>
<tr>
<td>HU</td>
<td>AGOTA DAVID</td>
</tr>
<tr>
<td>MT</td>
<td>GLORIANNE CAMILLERI</td>
</tr>
<tr>
<td></td>
<td>IAN GAUCI</td>
</tr>
<tr>
<td>NO</td>
<td>JAN MONTEVERDE HAAKONSEN</td>
</tr>
<tr>
<td></td>
<td>JULIE CHRISTLANS</td>
</tr>
<tr>
<td>PT</td>
<td>MARGARIDA FERREIRA</td>
</tr>
</tbody>
</table>
### Strategic Workshop Contributors

<table>
<thead>
<tr>
<th>Name</th>
<th>Position / Role</th>
<th>Organization / Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vinny Pillay</td>
<td>Senior Science and Technology Representative</td>
<td>South African Mission to the EU, Presidency of the African Union</td>
</tr>
<tr>
<td>Michel Makanga</td>
<td>Executive Director</td>
<td>EDCTP</td>
</tr>
<tr>
<td>Eric Mwangi</td>
<td>Co-coordinator LEAP-Agri</td>
<td>Ministry of Education and Science, Kenya</td>
</tr>
<tr>
<td>Samia Charfi Kaddour</td>
<td>General Director of Scientific Research</td>
<td>Ministry of Higher Education and Scientific Research, Tunisia</td>
</tr>
<tr>
<td>Regina Rabinovich</td>
<td>Scholar &amp; Director</td>
<td>Harvard University &amp; ISGlobal, USA &amp; Spain</td>
</tr>
<tr>
<td>Mathieu Denis</td>
<td>Science Director</td>
<td>International Science Council</td>
</tr>
<tr>
<td>Hans-Joerg Lutzeyer</td>
<td>Senior Expert, Food 2030</td>
<td>DG R&amp;I, European Commission</td>
</tr>
<tr>
<td>Inmaculada Peñas-Jimenez</td>
<td>Policy Officer</td>
<td>DG R&amp;I</td>
</tr>
<tr>
<td>Cecilia Neyroud</td>
<td>Scientific Advisor</td>
<td>State Secretariat for Education, Research and Innovation, Switzerland</td>
</tr>
<tr>
<td>Johanna Hakala</td>
<td>Manager, International Affairs</td>
<td>Academy of Finland</td>
</tr>
<tr>
<td>Diego Intrigilo</td>
<td>Chair of Scientific Advisory Board</td>
<td>PRIMA</td>
</tr>
<tr>
<td>Abdur Rahim</td>
<td>H2020 Project Coordinator</td>
<td>Open Platforms and Enabling Technologies for the Internet of Things</td>
</tr>
<tr>
<td>Andres Martinez</td>
<td>Director</td>
<td>SOST Spanish Office of Science and Technology, CDTI, Spain</td>
</tr>
<tr>
<td>Andrew Sherriff</td>
<td>Read of the European External Affairs Programme</td>
<td>European Centre for Development Policy Management</td>
</tr>
<tr>
<td>Christine Hackenesch</td>
<td>H2020 Project Coordinator</td>
<td>German Development Institute, Germany</td>
</tr>
<tr>
<td>Daria Godek</td>
<td>Senior Specialist, Department of Non-European Affairs</td>
<td>Ministry of Science and Higher Education, Poland</td>
</tr>
<tr>
<td>Davide Shingo Usami</td>
<td>H2020 Project Coordinator</td>
<td>University of Roma I</td>
</tr>
<tr>
<td>Dominik Reinertz</td>
<td>Representative from Fraunhofer</td>
<td>EARTO</td>
</tr>
<tr>
<td>Enrique Diaz</td>
<td>Coordinator Angola Project</td>
<td>IGME Spanish Geological Survey, Spain</td>
</tr>
<tr>
<td>Florence QUIST</td>
<td>Scientific Officer</td>
<td>Fonds de la Recherche Scientifique-FNRS, Belgium</td>
</tr>
<tr>
<td>Ivana Didak</td>
<td>Senior Policy Officer</td>
<td>The Guild</td>
</tr>
<tr>
<td>Johan Malin</td>
<td>Project Manager International Financial Institutions</td>
<td>Flanders Investment &amp; Trade, Belgium</td>
</tr>
<tr>
<td>Jorge Liz</td>
<td>Representative</td>
<td>EUREKA network</td>
</tr>
<tr>
<td>Juan Suárez Beltrán</td>
<td>H2020 Project Coordinator</td>
<td>Enhancing Food Security in AFRican AgriCULTural Systems with the Support of Remote Sensing</td>
</tr>
<tr>
<td>Name</td>
<td>Position/Role</td>
<td>Organization/Institution</td>
</tr>
<tr>
<td>---------------------------</td>
<td>-------------------------------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Katalin Alfoldi</td>
<td>Policy Office Responsible for International Relations</td>
<td>COST Association</td>
</tr>
<tr>
<td>Katsia Paulevets</td>
<td>Manager of LIRA 2030 Programme</td>
<td>International Science Council</td>
</tr>
<tr>
<td>Lala Pifol</td>
<td>Representative</td>
<td>World Association of Industrial and Technological Research Organisations (WAITRO)</td>
</tr>
<tr>
<td>Luisa Bunescu</td>
<td>Representative</td>
<td>European Universities Association (EUA)</td>
</tr>
<tr>
<td>María Jose Escrivuela</td>
<td>H2020 Project Coordinator</td>
<td>Accounting for Climate Change in Water and Agriculture Management</td>
</tr>
<tr>
<td>Mathilde Begrand</td>
<td>Head of the higher education unit at the French national Erasmus+ Agency</td>
<td>Erasmus+ Agency, France</td>
</tr>
<tr>
<td>Maud Eyraud</td>
<td>Head of Policy Affairs</td>
<td>Science Europe</td>
</tr>
<tr>
<td>Miriam Cunningham</td>
<td>H2020 Project Coordinator</td>
<td>IMC and IST-Africa Institute</td>
</tr>
<tr>
<td>Moira Torrent</td>
<td>Policy Expert</td>
<td>Spanish National Research Council (CSIC), Spain</td>
</tr>
<tr>
<td>Mónica Martín-Lanuza Olmeda</td>
<td>Representative</td>
<td>Spanish National Research Council (CSIC), Spain</td>
</tr>
<tr>
<td>Murray Bledler</td>
<td>Representative</td>
<td>UNESCO</td>
</tr>
<tr>
<td>Pietro Cecatto</td>
<td>H2020 Project Coordinator</td>
<td>Nurturing Agriculture in Africa with Earth Observation and Digital Platform</td>
</tr>
<tr>
<td>Philippe CURY</td>
<td>Representative</td>
<td>Institut de Recherche pour le développement (IRD), France</td>
</tr>
<tr>
<td>Radika Van Hameren</td>
<td>NL Research Attaché</td>
<td>NL Representation to the EU, Netherlands</td>
</tr>
<tr>
<td>Sabina Asanova</td>
<td>H2020 Project Coordinator</td>
<td>Integrated Urban Electric Mobility Solutions in the Context of the Paris Agreement, the Sustainable Development Goals and the New Urban Agenda (Solutions plus)</td>
</tr>
<tr>
<td>Susanne Johansson</td>
<td>Representative</td>
<td>Formas – A research council for sustainable development, Sweden</td>
</tr>
<tr>
<td>Veronika Jorch</td>
<td>H2020 Project Coordinator</td>
<td>Coordination Thünen Africa Concept and Project Coordination SEACRIFOG</td>
</tr>
<tr>
<td>Zied Khaier</td>
<td>AGYA Regional Coordinator for the Maghreb</td>
<td>Arab-German Young Academy of Sciences and Humanities (AGYA)</td>
</tr>
</tbody>
</table>
8. ANNEX: DESCRIPTION OF THE INITIATIVES

At a European level

HLPD activities:

Priority 1. AU-EU FNSSA Partnership

Science and technology cooperation for a 10-year period, adopted in April 2016. Funding from EU Horizon 2020, African Union Research Grants, EU and AU member countries of more than EUR 160 million foreseen until 2020. Currently three funding programmes are active:

– African Union Research Grants: small projects, often an African coordinated AU-EU partnership;
– ERA-Net Cofund LEAP-Agri – Joint funding from 9 African + 10 European Countries – 27 projects; mid-size projects;
– CSA LEAP4FNSSA, which supports the HLPD Bureau and the Working Group on Food and Nutrition Security and Sustainable Agriculture (FNSSA-WG) in implementing the AU-EU FNSSA Roadmap.

 Horizon 2020: large projects, e.g. PROIntenseAfrica operates a large database of previous and ongoing projects, currently holding general information on 102 projects, with 41 still ongoing. Three new topics launched in 2019/2020 with a budget of approx. EUR 60 million.

Priority 2. AU-EU CCSE Partnership

CCSE Roadmap acknowledged in November 2017 by the EU-AU Abidjan summit (Article 29 of summit conclusions). So far one project finished in August 2019, aiming to jointly design a strategic R&I agenda, foster long-term AU-EU collaboration in the field of renewable energy, and empower local R&I through EU/AU cooperation.

A CSA-type action on “Biorclimatic approaches for improving energy performance in buildings in Africa and Europe” under preparation and one call open on “Long-term EU-Africa Partnership for Research and Innovation actions in the area of renewable energy” (RIA).

Next steps: nomination of EU/AU experts for a Working Group and preparing action plan.

Priority 3. Africa-Europe Innovation Partnership

Launched in September 2019 in Nairobi. Adopted as third pillar of the HLPD in November 2019 during the Senior Officials Meeting of the HLPD.

Aims to foster synergies between the African and European start-up ecosystems, identify relevant start-up incubators and accelerators through networking events, facilitate information and technology exchange, and promote cooperation in general. A call under H2020 was launched in order to support priority 3 and will start next autumn.

Other relevant EC information:

DG RTD H – ENRICH call 2020
On the Innovation Partnership: Extending the network of the world’s most dynamic and innovative countries and regions (India/Africa/third region) that connect and support EU researchers and entrepreneurs globally.

**New Africa-Europe Alliance announced in 2018**
- Investment programme
- Technical support
- Joint EU/AU experts working groups (4 Task Forces)
  - Digital Economy report
  - Rural Africa report
- DG EAC – MSCA and Erasmus+

The EDCTP and PRIMA are Article 185 initiatives via which the European Commission, through the Framework Programmes, and the Member States (with variable geometry) co-fund strategic agendas that are co-created with African stakeholders and implemented over a period of several years.

PRIMA (Partnership for Research and Innovation in the Mediterranean Area) is a successfully running initiative that can serve as an example of effective multilateralism. Regarding the fostering of innovation, however, there is room for improvement in the pillars that are funded by the Member States. Support of private sector entities with the funding of the states involved in PRIMA is, for some countries, complex or impossible due to national regulations. However, for projects funded by the EC (section 1), industry participation is considerable, particularly for the innovative actions developed so far.

The Union for the Mediterranean (UfM) Regional Platform in Research and Innovation (former EU-MED GSO, Group of Senior Officers for Euro-Mediterranean cooperation) was created in 1995 to monitor and promote cooperation in research, science and innovation. This bi-regional cooperation was given new impetus at the Paris summit in 2008, when the UfM was launched. In addition to bilateral policy dialogues, EU-MED GSO plays a key role as a bi-regional policy dialogue platform bringing together EU Member States and all Mediterranean Partner Countries. Since the adoption of the Valletta Ministerial Declaration (May 2017), the following has been achieved: 1) the BLUEMED initiative, 2) the Partnership for Research and Innovation in the Mediterranean Area (PRIMA) and 2) research-related migration. The EU-MED GSO is currently working towards the possibility of setting up a Working Group on Research and Innovation and a renewed strategy.

**CAAST-NET** (and CAAST-NET++) was a coordination and support action funded by FP7 that served as a platform for coordination, analysis and outreach in research and innovation collaboration between the two world regions. The focus on the second phase was on three thematic areas: food security, climate change and health.

---

51 https://www.edctp.org/
52 http://primamed.org/
53 This Initiative involves the following countries: Portugal, Spain, France, Germany, Italy, Malta, Greece, Cyprus, Turkey, Lebanon, Israel, Palestine, Jordan, Egypt, Algeria, Tunisia and Morocco, under the co-presidency of the European Commission and the Hashemite Kingdom of Jordan.
Erasmus+ for Africa\textsuperscript{5} is an initiative that supports the exchange of students and staff between European and sub-Saharan African universities.

International dimension of Erasmus+ in Africa\textsuperscript{6}, Via its international dimension, Erasmus+ funds mobility schemes for students, researchers and staff between European and African countries, as well capacity-building activities for higher education institutions and systems. Africa has become a priority for the international dimension of Erasmus+ over the last years.

The Marie Skłodowska Curie Actions (MSCA)\textsuperscript{7} are the EU flagship programme for supporting researchers' mobility and training at doctoral and postdoctoral levels. The MSCA support researchers in all scientific domains, promote collaboration between the academic, scientific and business communities, boost the careers of scientists at all stages and develop excellent doctoral training through international and intersectoral mobility. The MSCA are the most international component of Horizon 2020, representing more than half of the total participation by third countries. A total of more than 1300 African researchers from 43 different countries are currently involved in the programme, which also counts more than 320 participations by 181 different African organisations.

The Intra-Africa Academic Mobility Scheme\textsuperscript{8} is funded under the DCI’s Pan-African Programme and supports higher education cooperation between countries in Africa, by providing financing for student and staff mobility.

The African Research Universities Alliance (ARUA)\textsuperscript{9} is composed of 16 leading universities in Africa. It aims to enhance research and graduate training in member universities through a number of channels, including the setting up of Centres of Excellence (CoEs) at its member universities. Addressing key challenges of Africa’s sustainable development, ARUA CoEs are intended to be focal points for aggregating world-class researchers from member universities and elsewhere to undertake collaborative research in priority thematic areas while providing opportunities for graduate students from the region and elsewhere to work with the researchers.

The Guild of European Research-Intensive Universities (The Guild)\textsuperscript{10}. Founded in 2016, the Guild comprises 19 of Europe’s most distinguished research-intensive universities in 14 countries and is dedicated to amplifying the voice of academic institutions, their researchers and their students. The Guild is committed to the pursuit of excellence, the importance of truth-seeking and trust-building as the foundation of public life, and the creation of new knowledge for the benefit of society, culture, and economic growth.

ERA-Net ERAfrica\textsuperscript{11} was a ground-breaking initiative that for the first time brought together European and African research funding agencies to plan, organise and launch the first call ever for transnational collaborative projects aiming at funding researchers from their own countries. The current ERA-Net Co-fund project LEAP.Agri is to a large extent built on the ERAfrica model with a top-up by the EC.

\textsuperscript{7} https://ec.europa.eu/research/mariecurieactions/msca-actions_en.
\textsuperscript{8} http://arue.org.za/.
\textsuperscript{9} https://www.the-guild.eu/.
\textsuperscript{10} https://www.erafrica.eu/.
\textsuperscript{11} https://www.erafrica.eu/.
IST-Africa\(^6\) is a strategic partnership between IIMC and the IST-Africa Institute (Ireland) and ministries and national councils responsible for innovation, science and technology adoption, policy and research in 18 African Member States. IST-Africa has been supporting strategic engagement with Africa focused on international research, innovation and policy cooperation since 2005. IST-Africa has published comprehensive mapping of research and innovation capacity, research priorities and Innovation Spaces to inform future Africa-focused calls and mapping of bilateral and multilateral cooperation.

AfricaConnect\(^5\) was funded under EDF10 (2011 - 2015) and EDF11 (2015 - 2019) to establish high-capacity Internet networks for research and education across Africa, and to strengthen links with Europe to facilitate global collaboration. It aimed to contribute towards the SDGs, open up research activities in the region, build cooperation with the rest of the world, and reduce poverty, brain drain and the digital divide, through the creation and use of National Research and Education Networks.

The Digital4Development\(^4\) framework was developed by the European Commission to mainstream digital technologies across several priority areas. Five short-term projects focused on Africa were funded: 1) The Multinational Trans-Saharan Backbone (TSB) Optical Fibre Project; 2) The Central Africa Backbone (CAB) Project – Central African Republic (CAR) Component, 3) Cyber4D, 4) PRIDA and 5) Digital4Inclusion. The projects started in 2018. Preparatory work to support medium-term actions was undertaken in the areas of e-governance, health, agriculture and education.

The '5+5 Dialogue Forum for Research, Innovation and Higher Education' is an initiative that builds cooperation in the western, southern and northern Mediterranean countries\(^9\) with the objective of fostering networking in research, innovation and higher education. The forum signed the 2015 Rome Declaration 'Strengthening cooperation in research, innovation and higher education for promoting sustainable economic growth, social inclusion and creating new opportunities for youth in the Western Mediterranean Region' that marks its strategy for the future.

The Atlantic International Research Centre (AIR Centre)\(^6\) is a network for international cooperation along and across the Atlantic, with an integrative approach to the advance and sharing of knowledge of space, the atmosphere, oceans, climate change, energy and data science, as well as their interactions. Its central theme is North-South and South-North, East-West and West-East Atlantic cooperation. The AIR Centre is the result of a two-year process of Portuguese scientific diplomacy, under the 'Atlantic Interactions' research and innovation

\(^6\) http://www.ist-africa.org/home/
\(^7\) https://www.africaconnect2.net/

\(^9\) Algeria, France, Italy, Libya, Malta, Mauritania, Morocco, Portugal, Spain and Tunisia.
\(^10\) https://aircentre.org/
agenda that was initiated on June 10, 2016 and led to over 30 other thematic scientific and policy workshops around the world.\textsuperscript{13}

**Bilaterally**

In higher education and scientific cooperation, the Austrian Partnership Programme in Higher Education and Research for Development (APPEAR)\textsuperscript{14} funds well-designed collaborative and innovative projects, responding to the needs and demands identified in the countries and institutions addressed. APPEAR is supporting a new ‘Higher Education and Scientific Cooperation’ strategy in support of higher education and research for development on an academic institutional level in the priority regions.

In digitalisation, major interinstitutional UNDP-funded projects on digital transformation aim to achieve the SDGs in the framework of the Memorandum of Understanding between Estonia and the African Union.

The BMZ German programme Make-IT Digital Africa\textsuperscript{15} supports the creation and clustering of start-ups in Africa.

In research and innovation cooperation, the Finnish FinCEAL Plus BRIDGES\textsuperscript{20} initiative supports research cooperation between researchers based in Finnish institutions and the research community in Africa on activities that align with the 2030 Agenda for Sustainable Development and its 17 goals. In addition, FinCEAL Plus BRIDGES supports research with African partners on themes derived from the AU-EU cooperation priorities in science, technology and innovation. The Joint AU-EU Strategy and the AU-EU High-Level Policy Dialogue on Science, Technology and Innovation highlight thematic areas of priority. The programme will end in 2020.

The Climate Service Centres SASSCAL\textsuperscript{21} and WASCAL\textsuperscript{22} are a German initiative run in cooperation with several Southern and Western African countries respectively. They present a unique blend of top-tier research, science-based advisory services for policymakers and stakeholders/practitioners (such as farmers), and capacity building through specialised programmes and the creation of graduate schools. The challenge behind this endeavour was to find ways of directly transferring available funds to the service centres in Africa. An intermediary had to be identified who could administrate the funds and comply with national regulations regarding accounting and documentation. While this has been solved by utilising the German Development Bank, a second challenge remains: the initiative’s vision is to transfer the service centres into full African ownership. However, the partner countries involved have not yet been able to raise the necessary funds for the independent support of the service centres. This, in turn, raises the question of whether pre-financing by a donor country is the right way forward, if long-term ownership by the African partners is expected.

\textsuperscript{13}http://www.atlanticinteractions.org/events/.
\textsuperscript{14}https://apear.at/en.
\textsuperscript{20}https://www.unpaid.it/funding/fc-funding/.
\textsuperscript{21}http://www.sasscal.org/.
\textsuperscript{22}https://wascal.org/.
The Nordic Africa Institute\textsuperscript{23} is located in Sweden. Its objective is to strengthen collaboration between Nordic and African research communities.

**Centro Ciência LP**\textsuperscript{24} – International Centre for Advanced Training in Fundamental Sciences of scientists from Lusophone countries. The Centro Ciência LP is an outcome of the signature of an agreement between the Portuguese Government and UNESCO.

**Swiss institutions as Leading Houses in Africa** during the 2017-2020 period, funded by the Swiss State Secretariat for Education, Research and Innovation (SERI): 1) The HES-SO University of Applied Sciences and Arts Western Switzerland acts as **Leading House for the North African region**. Its overall goal is to develop scientific collaborations between Switzerland and countries of the MENA region. Various funding instruments, such as Innovation Starting Grants and Seed Money Grants have been launched. 2) The Swiss TPH, supported by the University of Basel, is the **Leading House** for bilateral research collaboration with partner institutions in the sub-Saharan African region. Leading House Basel promotes and fosters scientific cooperation with key institutions in sub-Saharan Africa for the 2017-2020 funding period. Cameroon, Ghana, Kenya and Uganda have been designated as key countries with which to strengthen cooperation during this phase, in addition to intensifying the existing ties with Côte d’Ivoire, South Africa and Tanzania.

---

**Internationally**

African and European countries are also partners in a number of relevant global initiatives with special activities directed towards Africa. They include:

- The **International Science Council (ISC)** [https://council.science/](https://council.science/), which has a five-year programme focused on Africa and an African regional office.

- The **Global Research Council (GRC)** [https://www.globalresearchcouncil.org/](https://www.globalresearchcouncil.org/); the World Bank has financed the establishment of **African Centres of Excellence (ACE) [https://ace.aau.org/](https://ace.aau.org/)** to facilitate partnerships with researchers in Europe and elsewhere.

Some European funders are part of the Science Granting Council Initiative in Africa [https://sgciafrica.org/en.za/home](https://sgciafrica.org/en.za/home), while others are part of the International Research for Development Funders (IRDFF), including private foundations such as the Volkswagen Stiftung and the Wellcome Trust.

---

\textsuperscript{23} [https://nauu.se/](https://nauu.se/).

\textsuperscript{24} [https://www.cienciaslp.pt/](https://www.cienciaslp.pt/).