



The Phasing Out Plan

Template and Guiding Principles

European Commission, DG RTD, CIC

Unit H4 Common Service for Executive Agencies and Funding Bodies

Procedure

The Executive Director prepares a draft to be submitted to the Governing Board

The Governing Board oversees implementation



Although not mandatory, the advisory bodies should be consulted

The Governing Board adopts the plan **before the 31.12.2023**

Adoption of the phasing out plan does not automatically denote the non renewal of the JU

What is phasing out?

- Phasing out refers to the gradual process leading to the end of funding from the framework programme and foresees the possibility of non renewal of the JU.
- An effective phase out requires commitment to the plan by the members other than the Union.
- It should not affect:
 - possible continued transnational funding by national or other Union programmes;
 - private investment; and
 - on-going projects.

Why a plan?

- The aim of the plan is to enable, with the help of the JU, its members other than the Union to reach self-sustainability as a means of ensuring a follow-up to the work of the JUs.
- This will be achieved through clear, measurable and transparent criteria for the purpose of monitoring and evaluating the activities of the JUs including their progress towards targets that will be set out in the plan.
- The plan sets out options for the future, therefore, on the next stage of research in the relevant field: Where do we go from here?
- The plan should be developed taking due account of the specificities of each JU and the fields in which they operate.

Structure of the Plan – The template

The plan will include:

- **An executive summary:** Setting out an overview of the JU and of the main elements of the subsequent plan.
- **Identification of the short and long-term targets:** therefore, the form of future cooperation and activities to maintain the drive to achieve the objectives of the JUs beyond the end of the Union's participation. This will be set on clear, measurable, and transparent criteria and include relevant benchmarks.
- **Strategic alignment:** or in other words, ensuring that the phasing out plan is integrated into the strategic planning of the JU but also assessing opportunities for alignment between JUs, or with other Union, national or regional programmes.
- **Financial Sustainability:** Putting in place mechanisms that will enable a gradual reduction of the JUs' dependence on HE Funding.
- **Administrative and operational adaptations:** This refers to practical changes and adaptations necessary for the purpose of achieving the short and long-term targets and to prepare for the possible winding up of the JU.

Thank you



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